

# Molecular targets and strategies in the development of nucleic acid cancer vaccines: from shared to personalized antigens

Wei-Yu Chi<sup>1†</sup>, Yingying Hu<sup>2†</sup>, Hsin-Che Huang<sup>2†</sup>, Hui-Hsuan Kuo<sup>3†</sup>, Shu-Hong Lin<sup>4,5†</sup>, Chun-Tien Jimmy Kuo<sup>6†</sup>, Julia Tao<sup>7</sup> , Darrell Fan<sup>7</sup> , Yi‑Min Huang7 , Annie A. Wu7 , Chien‑Fu Hung7,8,9 and T.‑C. Wu7,8,9,10[\\*](http://orcid.org/0000-0003-1623-4584) 

#### **Abstract**

Recent breakthroughs in cancer immunotherapies have emphasized the importance of harnessing the immune system for treating cancer. Vaccines, which have traditionally been used to promote protective immunity against pathogens, are now being explored as a method to target cancer neoantigens. Over the past few years, extensive preclinical research and more than a hundred clinical trials have been dedicated to investigating various approaches to neoantigen discovery and vaccine formulations, encouraging development of personalized medicine. Nucleic acids (DNA and mRNA) have become particularly promising platform for the development of these cancer immunotherapies. This shift towards nucleic acid-based personalized vaccines has been facilitated by advancements in molecular techniques for identifying neoantigens, antigen prediction methodologies, and the development of new vaccine platforms. Generating these personalized vaccines involves a comprehensive pipeline that includes sequencing of patient tumor samples, data analysis for antigen prediction, and tailored vaccine manufacturing. In this review, we will discuss the various shared and personalized antigens used for cancer vaccine development and introduce strategies for identifying neoantigens through the characterization of gene mutation, transcription, translation and post translational modifcations associated with oncogenesis. In addition, we will focus on the most up-to-date nucleic acid vaccine platforms, discuss the limitations of cancer vaccines as well as provide potential solutions, and raise key clinical and technical considerations in vaccine development.

**Keywords** Cancer vaccine, Tumor antigens, Neoantigens, DNA, mRNA, Clinical trial

† Wei-Yu Chi, Yingying Hu, Hsin-Che Huang, Hui-Hsuan Kuo, Shu-Hong Lin, and Chun-Tien Jimmy Kuo have contributed equally to this work.

**NBMC** 

© The Author(s) 2024. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit [http://creativecommons.org/licenses/by/4.0/.](http://creativecommons.org/licenses/by/4.0/) The Creative Commons Public Domain Dedication waiver ([http://creativeco](http://creativecommons.org/publicdomain/zero/1.0/) [mmons.org/publicdomain/zero/1.0/](http://creativecommons.org/publicdomain/zero/1.0/)) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

7 Department of Pathology, Johns Hopkins School of Medicine, 1550 Orleans St, CRB II Room 309, Baltimore, MD 21287, USA <sup>8</sup> Department of Oncology, Johns Hopkins School of Medicine, Baltimore, MD, USA

<sup>9</sup> Department of Obstetrics and Gynecology, Johns Hopkins School of Medicine, Baltimore, MD, USA

<sup>10</sup> Department of Molecular Microbiology and Immunology, Bloomberg School of Public Health, Johns Hopkins School of Medicine, Baltimore, MD, USA



<sup>\*</sup>Correspondence:

T.‑C. Wu

wutc@jhmi.edu

<sup>&</sup>lt;sup>1</sup> Physiology, Biophysics and Systems Biology Graduate Program, Weill Cornell Medicine, New York, NY, USA

<sup>&</sup>lt;sup>2</sup> Tri-Institutional PhD Program in Chemical Biology, Memorial Sloan Kettering Cancer Center, New York, NY, USA

<sup>&</sup>lt;sup>3</sup> Pharmacology PhD Program, Weill Cornell Medicine, New York, NY, USA 4 Department of Epidemiology, The University of Texas MD Anderson

Cancer Center, Houston, TX, USA

<sup>&</sup>lt;sup>5</sup> The University of Texas Graduate School of Biomedical Sciences

at Houston and MD Anderson Cancer Center, Houston, TX, USA

<sup>&</sup>lt;sup>6</sup> Division of Pharmaceutics and Pharmacology, College of Pharmacy, The Ohio State University, Columbus, OH, USA

#### **Introduction**

Cancer vaccines have become of great interest in the development of cancer therapy due to their capacity to leverage immune cells against cancer, specifcally targeting malignant cells while preserving healthy ones. To design an efective vaccine enabling a precise immune response, it is crucial to select the appropriate target antigen [[1\]](#page-18-0). Neoantigens stand out as potential targets for the immune system. These antigens are derived from abnormal proteins generated from somatic mutations or mutation-independent processes present in cancer cells, thus they are expressed specifcally in cancer cells but are not present in normal tissues. Cancer vaccines targeting neoantigens can be used in conjunction with immune checkpoint inhibitors (ICIs), a class of drugs blocking proteins that downregulate CD8 T cell's cytotoxicity. ICIs have demonstrated signifcant efficacy against cancer types with high mutational burden [[2,](#page-18-1) [3](#page-18-2)]. However, not every neoantigen adequately induces a functional T-cell response. Lang et al. have proposed a classifcation of neoantigens into three groups based on their immunogenicity [[4\]](#page-18-3): guarding neoantigens, which are strongly immunogenic and rapidly eliminated; restrained neoantigens, which are only recognized by T cells unleashed by ICIs; and ignored neoantigens, which are not immunogenic even with ICI therapy. Ignored neoantigens are presented on MHC class I complexes, yet fail to efectively prime naive T cells. It is hypothesized that vaccination may enhance T cell responses to these ignored neoantigens, thereby augmenting therapeutic antitumor efects.

Due to their patient-specifc nature, neoantigens are particularly applicable in the feld of personalized medicine. There are currently more than 100 active or completed clinical trials that can be found on clinicaltrials. gov when searching for the terms "neoantigens" and "vaccines". While a clear beneft has not been demonstrated in a majority of these therapeutic vaccine trials, two recent trials— mRNA-4157 in melanoma (NCT03897881) [\[5](#page-18-4)] and autogene cevumeran (BNT122, RO7198457) in pancreatic cancer (NCT04161755) [\[6](#page-19-0)]—have reported evidence of clinical beneft, as indicated by prolonged recurrence-free survival (RFS). These successes can be attributed to signifcant advancement in personalized strategies for cancer treatment [[7](#page-19-1)]. For example, advances in molecular techniques such as next-generation sequencing (NGS) technologies have facilitated detailed examination of the cancer genome, including genetic alterations, gene expression, and epigenetic modifcations. Analysis of a patient's genomic information can provide a personalized approach to identifying clinically relevant target antigens and selecting individually appropriate treatment methods.

Beyond identifying antigens, developing cancer vaccines also requires the choice of an appropriate platform for efective delivery. An ideal cancer vaccine design should include highly immunogenic neoantigens that robustly induce both helper and cytotoxic T-cell responses (Fig. [1](#page-2-0)). Mouse studies have shown that vaccines incorporating both CD4 and CD8-specifc neoantigens are more efective than those only containing CD8 antigens, demonstrating the importance of including both MHC class I and class II antigens in cancer vaccine design [\[8](#page-19-2)]. Current options for delivering neoantigens include dendritic cell-based, microbial vectorbased, tumor cell-based, peptide-based, DNA-based, and mRNA-based vaccine platforms. Among these, the nucleic acid-based vaccine platforms have recently gained increased interest due to vaccine development efforts during the COVID-19 pandemic. Not only were various mRNA vaccines approved for human use, but a DNA vaccine in humans (ZyCoV-D) was also approved for the frst time [[9\]](#page-19-3). Furthermore, both DNA and mRNA vaccines are known for their ease of preparation, rapid adaptability for clinical use, and excellent safety profles, although efforts are still needed to improve delivery efficiency  $[10]$  $[10]$ .

With these principles in mind, this review will focus on therapeutic nucleic acid-based cancer vaccines designed to enhance antigen presentation, thereby promoting specifc immune cell recognition of tumors as a cancer treatment strategy. We will provide an overview of the following topics: 1. Types of cancer antigens, including shared and personalized antigens and their use in cancer vaccines. 2. Identifying neoantigens and optimizing selection of the highest quality and most immunogenic candidates for personalized cancer vaccination. 3. Vaccine platforms available for delivery of the antigen to generate a lasting immune response, particularly focusing on DNA and mRNA vaccines. 4. Discussions on the limitations of cancer vaccines and potential solutions. 5. Clinical considerations of personalized cancer vaccines as adjuvants in early-stage cancers and as maintenance treatments in advanced, metastatic cancers.

#### **Types of cancer antigens**

Tumor antigens can be categorized into shared or personalized antigens by their expression frequency [\[11](#page-19-5)]. Shared antigens are relatively common across diferent patients and could provide a promising off-the-shelf immunotherapy option. Recent developments in the feld have also given rise to vaccines targeting personalized antigens, which are more specifc to individual patients and could induce higher immune response. Here, we discuss diferent types of antigens and their respective clinical development in cancer vaccines.



<span id="page-2-0"></span>**Fig. 1** MHC class I and II antigen processing pathways. The endogenous pathway features MHC class I mediated antigen processing. Through MHC class I, cellular proteins are processed into peptide fragments by proteasomes and aminopeptidases, which are then transported by TAP into the endoplasmic reticulum to be loaded onto MHC class I molecules. These peptide-MHC-I complexes are then displayed on the cellular surface for recognition by CD8 T cells, activating cytotoxic T cells for the killing of virus-infected or cancerous cells. The exogenous pathway features MHC class II-mediated antigen processing. Through MHC class II, exogenous antigens are endocytosed by APCs, processed in endosomal and lysosomal compartments, and then loaded onto MHC class II molecules. These peptide-MHC-II complexes are then displayed on the cellular surface for recognition by CD4 T cells, triggering various immune responses such as cytokine secretions

#### **Shared cancer antigens**

Shared cancer antigens, including tumor-associated antigens (TAAs) and tumor-specifc antigens (TSAs), were previously identifed and characterized for their role in cancer. TAAs are overexpressed in cancer cells relative to normal cells, whereas TSAs are exclusively found in cancer cells. These properties make TAAs and TSAs potent targets for immunotherapeutic strategies [[12\]](#page-19-6). Ideal therapeutic antigens are characterized by their therapeutic function, immunogenicity, role of antigen in oncogenicity, specifcity, expression level of antigen-positive cells, number of targetable antigenic epitopes, and cellular location of antigen expression  $[13]$  $[13]$ . These antigens are essential in developing vaccines aimed at boosting the immune system's ability to recognize and eliminate cancer cells expressing these markers.

#### **Tumor‑associated antigens (TAAs)**

TAAs, which are overexpressed in cancer cells relative to normal cells, are commonly chosen antigens in vaccine development. Among TAAs, cancer-testis antigens (CTAs) are a specialized subset that are thought to be highly immunogenic due to their restricted expression in immune privileged sites, such as the testis, and their absence in lymphoid tissues  $[14]$  $[14]$ . NY-ESO-1 is a CTA expressed across various malignancies, including neuroblastoma, myeloma, bladder cancer, non-small cell lung cancer (NSCLC), ovarian cancer, prostate cancer, and breast cancer, to name a few  $[14]$  $[14]$ . Cancer vaccines targeting NY-ESO-1, such as  $p$ PJV7611 [[15\]](#page-19-9), SCIB2 [[16\]](#page-19-10), CV9202 [[17](#page-19-11)], and BNT111 [\[18](#page-19-12)], are being developed for the treatment of solid cancers such as NSCLC and melanoma. MAGEs are another example of CTAs, and are among the frst TAAs identifed at the molecular level [\[19\]](#page-19-13). Several members of the MAGE family have been targeted by cancer vaccine candidates. These include BNT111 targeting MAGE-A3 [\[18\]](#page-19-12), CV9202 targeting MAGE-C1 and MAGE-C2 [[17\]](#page-19-11), and a DNA vaccine candidate targeting MAGE-A1 and MAGE-A3 (NCT04049864).

Human telomerase reverse transcriptase (hTERT), a protein involved in telomere synthesis, is an important TAA highly expressed in cancers including hepatocellular carcinoma, melanoma, bladder cancer, and glioblastoma  $[20]$  $[20]$ . The hTERT antigen is targeted by cancer vaccines such as GV-1001 [[21,](#page-19-15) [22](#page-19-16)], INO-1400, INO-1401 [[23\]](#page-19-17), INO-5401<sup>[[24\]](#page-19-18)</sup>, and INVAC-1 (IVS-2001) <sup>[\[25](#page-19-19)]</sup>. These vaccines combine hTERT with other antigens

or modifed hTERT protein to target solid tumors or chronic lymphocytic leukemia. One example, GV-1001, in combination with chemotherapy agents gemcitabine/ capecitabine showed improved overall survival in serum eotaxin-high patients with untreated advanced pancreatic ductal adenocarcinoma (PDAC), and has been approved in South Korea [\[22](#page-19-16)]. Human epidermal growth factor receptor (EGFR) family members are also common targets due to their high expression in cancers including breast cancers and NSCLC. Vaccines targeting the EGFR family include AST301 (pNGVL3-hICD) [[26\]](#page-19-20) and AVX901 (VRP-HER2) [\[27](#page-19-21)] targeting HER2, and pING-hHER3FL targeting HER3 in solid cancers (NCT03832855). Prostate-specifc antigen (PSA), prostate specifc membrane antigen (PSMA), prostate acid phosphatase (PAP), and prostate stem cell antigen (PSCA) are antigens highly expressed in prostate cancers, and have been developed as targets for prostate cancer vaccines, such as BNT112 (PRO-MERIT) [\[28](#page-19-22)] (NCT04382898), and PROSTVAC-VF [\[29](#page-19-23), [30\]](#page-19-24). One key milestone is the FDA approval of Sipuleucel-T, a personalized DC vaccine that pulses patient dendritic cells with PAP and GM-CSF ex vivo before reinfusion [\[31](#page-19-25)]. This FDA approval followed three Phase 3 trials: D9901 [[32\]](#page-19-26), D9901/D9902A [\[33](#page-19-27)], and D9902B (IMPACT) [\[34](#page-19-28)]. Insulin-like growth factor binding protein 2 (IGFBP2) is often highly expressed in breast or ovarian cancer, and has also been developed as a cancer vaccine target in AST-201 [\[35](#page-19-29)] and WOKVAC [[36,](#page-19-30) [37](#page-19-31)]. Additional File [1:](#page-18-5) Table 1 and Table 2 provide a comprehensive list of mRNA and DNA vaccines targeting TAAs.

#### **Viral tumor‑specifc antigens (TSAs)**

Viral TSAs are found in cancers associated with viral infections, including human papillomavirus (HPV), the Epstein–Barr virus (EBV), and hepatitis B virus (HBV). These viruses cause around  $13\%$  of all cancers  $[38]$  $[38]$ . HPV oncoproteins E6 and E7 are known to interfere with cell cycle proliferation [[39,](#page-19-33) [40\]](#page-19-34), leading to malignancies such as cervical, oropharyngeal, or anal cancers  $[41]$ . These oncoproteins are critical in vaccines targeting HPVrelated cancers. Vaccines targeting HPV-associated malignancies include VB10.16 [\[42\]](#page-19-36), VGX-3100 [\[43](#page-19-37)], GX-188E  $[44]$ , and pNGVL4aCRTE6E7L2  $[45]$  $[45]$ , pNG-VL4a Sig/E7(detox)/HSP70 (PVX-2)/pBI-11WT1 [\[46](#page-20-1)], BNT113  $[47]$  $[47]$ , and pBI-11  $[48]$  $[48]$ . EBV was the first virus found to cause human cancer, and it has been associated with various lymphomas and epithelial cancers [[49\]](#page-20-4). Currently, WGc-043 developed by Westgene targets EBV-associated antigens for EBV + cancer treatment (NCT05714748). Another mRNA vaccine candidate targeting hepatitis B virus (HBV) for hepatocellular carcinoma is also under early development (NCT05738447).

Additional File [1:](#page-18-5) Table 3 and Table 4 provide a comprehensive list of mRNA and DNA vaccines targeting TSAs.

#### **Non‑viral tumor‑specifc antigens (public neoantigens)**

Non-viral TSAs often encompass patient-specifc neoantigens arising from mutations in driver genes, such as those found in Kirsten rat sarcoma virus (KRAS), tumor protein p53 (TP53), or Wilm's tumor suppressor gene 1 (WT1). KRAS has a high mutation rate in PDAC, NSCLC, and colorectal cancer (CRC) [\[50](#page-20-5)]. Vaccine candidates targeting KRAS mutations include mRNA-5671 (V491), which targets KRAS G12D, G12V, G13D or G12C mutations (NCT03948763), and another mRNA vaccine candidate targeting G12C, G12D, or G12V (NCT05202561). SLATE-001, a mRNA-LNP vaccine developed by Gritstone Bio, targets multiple TSAs including TP53 and KRAS that were identifed using Gritstone's EDGE neoantigen discovery platform [\[51](#page-20-6)]. INO-5401, developed by Inovio Pharmaceuticals, targets the TSA WT1 along with hTERT and PSMA to treat urothelial carcinoma and glioblastoma (NCT03502785, NCT03491683) [[24\]](#page-19-18).

Despite the promise of shared cancer antigens in vaccine development, several challenges persist. Shared antigens like TAAs are often present at low levels in normal cells  $[52]$ , but there is still the potential for off-tumor, ontarget toxicity and autoimmune responses [[12](#page-19-6)]. In addition, clinical trials of TAA-based vaccines have shown limited efficacy, leading to the discontinuation of several candidates [\[53](#page-20-8)]. For example, Moderna's mRNA-2416, targeting OX40L, was prematurely halted due to insufficient efficacy (NCT03323398). Similarly, CureVac's CV9202 was discontinued after showing a low overall response rate of 3.8% [[17\]](#page-19-11). On the other hand, targeting a single shared TSA might not be an efective therapeutic strategy. CDX-110 (rindopepimut), a peptide-based vaccine developed by Pfzer and Celldex to target EGFRvIII—a TSA commonly expressed in glioblastoma, breast cancer, and lung cancer [[54,](#page-20-9) [55\]](#page-20-10)—was discontinued after phase III trials failed to meet efficacy endpoints [[56\]](#page-20-11). These challenges underscore the necessity for identifying multiple antigens that can elicit stronger immune responses, leading to the development of vaccines tailored to individual immunogenic profles.

#### **Personalized neoantigens (private neoantigens)**

Personalized cancer vaccines target unique antigens derived from an individual's tumor mutations (mutation-dependent neoantigens) and non-mutation-based processes (mutation-independent neoantigens), offering novel targets for the immune system. Earlier attempts at vaccine development involved using inactivated whole tumor cell lysate as vaccines in an autologous or

allogeneic manner [\[57](#page-20-12)]. For example, M-Vax comprises of autologous melanoma cells modifed with dinitrophenyl (DNP) combined with Bacille Calmette-Guerin (BCG) to boost its immunogenicity to the same individual from which the vaccine was prepared [[58](#page-20-13)]. Melacine used allogeneic melanoma cell lysates combined with DETOX, an immunologic adjuvant to provide immunity to patients other than the patient from whom the melanoma cells were derived [\[59](#page-20-14)]. Both vaccines were approved in the 2000s but are currently unavailable due to suboptimal efficacy and better alternatives  $[60]$  $[60]$ . Currently, by specifcally focusing on neoantigens unique to each patient's tumor, personalized vaccines aim to generate a robust and targeted immune reaction, potentially improving the efficacy of cancer immunotherapy. Additional File [1](#page-18-5): Table 5 and Table 6 provide a comprehensive list of mRNA and DNA vaccines targeting personalized neoantigens.

#### **Mutation‑dependent neoantigens**

Mutation-dependent neoantigens can arise from single nucleotide variations (SNVs), small insertions and deletions (indels) and structural variants (SVs) [[61\]](#page-20-16). SNVderived neoantigens are the most studied mutation type as they are easier to detect. High SNV frequency is a biomarker for high tumor mutation burden (TMB) and correlates with higher response rates to ICI therapy, particularly in melanoma and NSCLC [[62–](#page-20-17)[65\]](#page-20-18). However, SNV-type neoantigens are not as immunogenic due to the high similarity to unmutated proteins [\[66](#page-20-19), [67\]](#page-20-20). In contrast, structural variations, which are changes>50 base pairs (bp) in length resulting from insertion, deletion, duplications, inversions, or translocations, can cause frameshifts and gene fusions. These alterations lead to dramatic diferences in amino acid sequences that can give rise to highly specifc and immunogenic neoantigens. Their lack of normal protein function targets them as defective products for rapid destruction and presentation on MHC class I molecules [[68\]](#page-20-21). For example, indels or frameshift mutations, which create novel open reading frames and produce abnormal proteins, are shown to generate nine times more high-affinity neoantigen binders than SNVs [\[69](#page-20-22)]. Tumor infltrating lymphocytes (TILs) in clear cell renal cell carcinoma recognize both SNVs and frameshift-derived neoantigens [[70](#page-20-23)]. Gene fusions are also demonstrated to produce neoantigens that contribute to immune surveillance [\[71](#page-20-24)]. It was estimated that gene fusions lead to sixfold more neoantigens than SNVs and indels [\[72](#page-20-25)]. Lastly, endogenous retroelements can be reactivated and give rise to neoantigens that are otherwise not expressed in normal tissues [\[12](#page-19-6), [73\]](#page-20-26).

While these mutation-dependent neoantigens have become increasingly easier to identify due to the extensive genetic information provided through NGS sequencing, there are a number of limitations to this approach. For instance, these vaccines against mutation-dependent neoantigens often produce an inefficient immune response, potentially due to low expression levels of the neoantigen, inefective presentation due to low neoantigen stability, or immunosuppressive tumor microenvironment [[74](#page-20-27)[–76](#page-20-28)]. Furthermore, intra-tumoral heterogeneity and clonal diversity pose challenges for identifying neoantigens that are universally expressed across all tumor cells, potentially leading to immune escape and tumor progression [\[77\]](#page-20-29).

#### **Mutation‑independent neoantigens**

While mutation-dependent strategies for neoantigens have been extensively studied, the low mutational burden in many cancers limits the potential of mutation-dependent neoantigen vaccine strategies. Thus, it is crucial to explore mutation-independent neoantigens. One angle that has gained particular attention is the "dark pro-teome" [[78\]](#page-20-30). This term describes the alternative protein products produced from non-canonical, also known as "cryptic," alterations in transcription and translation, constituting the so-called "dark" portion of the proteome [[79\]](#page-20-31). These can arise from alternative splicing  $[80]$  $[80]$ , usage of non-AUG start codons [\[81,](#page-20-33) [82](#page-20-34)], ribosomal frameshift errors [\[83](#page-20-35), [84](#page-20-36)], readthrough events into the 3'-untranslated region [[85,](#page-20-37) [86](#page-20-38)], and expression from non-canonical ORF-containing genes [[87](#page-20-39)[–89](#page-20-40)]. Post-translational products may also be targeted; in particular, cancer-specifc pathways may lead to expression of unique cryptic neoantigens through alterations of the post-translational modifcation patterns [[90\]](#page-21-0). Furthermore, proteasomal peptide splicing can generate non-canonical MHC class I ligands to elicit tumor-specifc cytotoxic lymphocyte (CTL) responses [\[91,](#page-21-1) [92](#page-21-2)].

Similar to mutation-dependent neoantigens, many cryptic protein products' short half-lives enable their rapid degradation and efficient presentation on MHC class I molecules  $[93]$ , making them desirable for the generation of novel cancer vaccines based on mutationindependent neoantigen targets. However, despite the potential of targeting genetically diverse tumors with shared mutation-independent neoantigens, these neoantigens are often non-essential for tumor survival and may be expressed heterogeneously, making them susceptible to immune editing and reduced vaccine efectiveness. Thus, the "dark proteome" displays much potential, but further validation is still required to determine its efectiveness in cancer immunotherapy.

#### **Notable personalized cancer vaccine trials**

Recent advances in personalized cancer vaccines have focused on identifying tumor-specifc mutations to produce neoantigen vaccines for individual patients. Consequently, cancers with high TMB are particularly favorable for cancer vaccine development [[94–](#page-21-4)[96\]](#page-21-5). Several vaccines are currently in clinical development, with leading initiatives by companies such as Moderna, Merck, BioNTech, and Genentech progressing into phase II or III clinical trials.

mRNA-4157 (V940), a lipid nanoparticle (LNP) based mRNA cancer vaccine developed by Moderna and Merk, is designed to target up to 34 individualized neoantigens [\[5](#page-18-4)]. In phase II trials, it has shown promise against resected stages III-IV melanoma when used in conjunction with pembrolizumab, showing extended RFS and distant metastasis-free survival (DMFS) compared to pembrolizumab alone  $[97]$  $[97]$ . The treatment regimen involves administering nine intramuscular doses at 3-week intervals. From the initial sample collection to the delivery of the encapsulated mRNA, the process takes about 6 weeks, with ongoing eforts to reduce this timeframe to 30 days. Phase III trials are actively proceeding for both melanoma and NSCLC [[98](#page-21-7)] (NCT05933577, NCT06077760, NCT03313778).

Autogene cevumeran (BNT122 or RO7198457) is an mRNA cancer vaccine developed by BioNTech and Genentech. The vaccine is formulated to encode up to 20 personalized neoantigens [\[6](#page-19-0), [99](#page-21-8)]. In a phase Ib trial with atezolizumab, the vaccine induced neoantigenspecifc T-cell responses in 77% of patients, with mostly mild side efects including fatigue and nausea [[100\]](#page-21-9). Autogene cevumeran is currently in Phase II clinical trials for resected PDAC, aiming to evaluate its safety and efficacy compared to standard-of-care treatments, with endpoints including disease-free survival (DFS) and overall survival (NCT05968326).

GNOS-PVO2 is a DNA cancer vaccine developed by Geneos Therapeutics for advanced hepatocellular carcinoma (HCC) [[101\]](#page-21-10). It includes a DNA plasmid encoding up to 40 neoantigens, as well as a second DNA plasmid encoding cytokine interleukin-12 (IL-12) as an adjuvant. The vaccine is delivered via intradermal injection followed by electroporation. It is currently in phase I/IIa trials in combination with pembrolizumab (NCT04251117) and has been shown to generate a localized response to expressed antigens at the site of injection in patients with advanced HCC. The objective response rate was 30.6%, with 8.3% achieving complete responses, linked to the number of neoantigens included. The vaccine induced significant neoantigen-specific T cell responses in 86.4% of evaluable patients, leading to the activation, proliferation, and infiltration of vaccine-specific CD4 and CD8 T cells into tumors.

There are additional personalized cancer vaccines under early-stage development or phase I clinical trials. VB10.NEO, co-developed by Nykode and Genentech, is a DNA-based vaccine targeting up to 20 neoantigens in phase I/IIa trials of locally advanced or metastatic solid tumors [\[102](#page-21-11)]. EVX-02 is a DNA-based vaccine targeting up to 13 antigens for melanoma, currently under phase I/IIa trials (NCT04455503). Nouscom's NOUS-PEV utilizes a gorilla adenovirus [\[9](#page-19-3)] prime and a modifed vaccinia Ankara boost to target up to 60 neoantigens in a phase I trial for metastatic NSCLC and melanoma [[103](#page-21-12), [104](#page-21-13)]. GRANITE (ZVexNeo) is a heterologous mRNAbased vaccine in phase I trial developed by Gritstone Bio that includes a chimpanzee adenovirus vector (ChAd) and a self-amplifying mRNA encoding 20 personalized neoantigens [[105\]](#page-21-14). Transgene developed a MVA-based vaccine, TG4050, targeting up to 30 neoantigens in phase I trials for head and neck cancers and ovarian cancers [\[1](#page-18-0), [106](#page-21-15)]. iNeo-Vac-P01 from Hangzhou Neoantigen Therapeutics focuses on peptides targeting 5–20 neoantigens in a phase I trial for pancreatic cancers [\[107\]](#page-21-16). Lastly, vaccine candidates from University of Florida utilizes wholetumor-derived mRNA and the multi-lamellar RNA lipid particle aggregates (LPAs) technique to generate mRNAbased vaccines  $[108, 109]$  $[108, 109]$  $[108, 109]$  $[108, 109]$ . These diverse approaches represent the forefront of personalized cancer vaccine development with various neoantigen targets and lead indications across diferent phases of clinical trials.

#### **Neoantigen identifcation**

As the demand for discovering antigens with stronger immune responses increases, identifying actionable novel neoantigens becomes crucial in developing personalized cancer vaccines.

Current methods for identifying tumor neoantigens involve three main steps: target identifcation, neoantigen prediction, and target validation. Upon validation, the shortlisted antigens are formulated into vaccines using various platforms.

To identify specifc neoantigens for cancer vaccines, whether mutation-dependent or mutation-independent, one may consider the steps in antigen generation, processing and presentation. These steps include:  $(1)$  transcription of genes to mRNA, (2) translation of mRNA to proteins, (3) proteasomal degradation of proteins to peptides, (4) loading on to MHC molecules, (5) peptide-MHC molecules recognition by T cell receptors (TCRs), and (6) activation of T cells to elicit immune responses (Fig. [1](#page-2-0))  $[66]$  $[66]$  $[66]$ . Therefore, each step of cellular antigen generation and presentation requires corresponding computational algorithms or models to address and predict neoantigens  $[66, 110]$  $[66, 110]$  $[66, 110]$ . These tools help filter through all possible neoantigens to generate a manageable shortlist for subsequent validation.

Here, we will frst cover the strategies for identifying neoantigens derived from tumor mutations and follow with identifcation of mutation independent neoantigens. We will then detail the process of optimizing neoantigen immunogenicity through various predictive processes.

#### **Mutation‑dependent neoantigen identifcation from sequencing data**

The first step in identifying mutation-dependent neoantigens is to find tumor-specific mutations. This can be done by algorithms or variant callers that identify genetic variants by comparing the DNA: targeted panels, whole exome sequencing  $[111]$  $[111]$ , or whole genome sequencing (WGS). RNA sequencing (RNA-seq) can also be conducted utilizing patients' tumor samples and matched normal samples, typically from peripheral blood mononuclear cells (PBMC) [[112\]](#page-21-21). Each method faces a specifc set of challenge: (1) tumor samples are usually contaminated with normal tissues, (2) mutations are usually heterozygous, (3) tumor-specifc mutations are mostly subclonal, i.e. each mutation is usually found in a subset of the cancer cells in the tumor samples, and (4) quality tissue samples are of low availability.

Extensive efforts have been taken to compare the accuracy of variant callers for SNVs and indels as well as structural variants. Bohnert et al. compared the performance of 13 exome callers in detecting somatic SNVs in 100% pure tumor samples  $[113]$  $[113]$ . They found that 80.7% of all known SNVs were identifed by all callers, with six callers achieving a sensitivity above 90% and fve callers achieving a precision above 90%. However, the performance of variant callers decreased signifcantly with lower tumor purity. When testing with 90% admixture data, the seven best callers detected only 36–55% SNVs. A similar decline in performance was observed with varying levels of admixture when the callers lack normal reference, and the top-performing callers in tumor-normal pair settings did not perform best in tumor-only data. The challenge of impure tumor tissue sequencing could be addressed by adjusting for tumor purity and allowing tumor-only variant calls [\[112\]](#page-21-21). In a more recent effort, Pei et al. assessed the performance of variant callers for detecting both SNVs and indels, fnding over 90% precision when tumor purity is above 10%, which demonstrates the signifcant improvements in variant callers over the years [\[114](#page-21-23)]. Structural variant callers were also evaluated in detecting germline mutations [[115](#page-21-24)], showing that size of structural variant greatly impacts detection. Several callers achieved over 80% sensitivity for detecting events larger than

10,000 bp, while sensitivity for 1,000 bp events were 60% or lower. Additional benchmarking studies are needed to better evaluate the performance of somatic structural variant callers.

Many clinically available tumor tissues are preserved as formalin-fxed and parafn-embedded (FFPE) blocks, which are valuable sources for large-scale genomic studies. Despite excellent preservation of tissue morphology and stabilization of biomolecules, the FFPE procedure can create nucleotide modifcations that result in sequencing artifacts. Ruiz et al. analyzed EGFR and KRAS in 47 lung cancer samples using PCR and Sanger sequencing and found that DNA amplifcation occurred in only 50% of the FFPE samples compared to 100% in fresh frozen tissues  $[116]$  $[116]$ . These issues were subsequently ameliorated by both experimental and computational strategies, e.g. fltering out artifacts specifc for FFPE tissues [\[112,](#page-21-21) [117,](#page-21-26) [118\]](#page-21-27). In practice, ensembles of multiple callers often achieve results better than any single caller, and thus are often adopted in academic and industrial research settings [[119](#page-21-28)]. Another trend in the feld is the development of neural network-based variant callers, whose performances are comparable to ensemble methods [[120](#page-21-29)].

RNA sequencing (RNA-seq) provides both quantitative insights into gene expression and qualitative information on sequence changes, making it advantageous over DNA sequencing to study TAAs and TSAs. One signifcant advantage of RNA-seq is the fexibility in aligning sequencing reads either to the reference genome with gap-aware aligners or to the reference transcriptome using traditional aligners [\[112\]](#page-21-21). While aligning to the reference transcriptome is computationally straightforward, aligning to the reference genome enables the detection of novel alternative splice variants that may be tumor-specifc. However, limitations persist in using RNA-seq to identify SNVs. Quinn et al. tested several strategies to call germline variants using GATK and SAMtools, fnding that sensitivity and specifcity were higher than 85% for variants with 10X or higher coverage [[121\]](#page-21-30). Zhao et al. demonstrated that read length signifcantly infuences SNV detection performance, with a 125 bp read length resulting in a 34.5% false positive rate, which decreased to 6.1% with 150 bp reads [\[122](#page-21-31)].

As single-cell RNA-seq has become more accessible, researchers have evaluated its potential for variant identifcation. Liu et al. compared variants discovered in single-cell and bulk RNA-seq and reported that the median sensitivities of SNVs called in single-cell context ranged from 63.4 to 82.6%, with true positive rates exceeding 90% for several callers tested  $[123]$  $[123]$  $[123]$ . These studies demonstrated consistency between single-cell and bulk RNA variant calls in germline settings. However, more studies are needed to better account for potential issues inherent to single cell RNA-seq.

Protein expression data from large-scale proteomics studies, such as the Clinical Proteomic Tumor Analysis Consortium (CPTAC), can also be incorporated into the neoantigen identifcation pipeline [[124\]](#page-21-33). CPTAC integrates comprehensive genomic and proteomic data, including WGS, WES, regular proteome, and phosphoproteomes, allowing researchers to bridge the gap between DNA, RNA, and protein expression levels and prioritize neoantigens for efective vaccine design.

#### **Mutation‑independent neoantigen identifcation from sequencing data**

To comprehensively identify tumor-specifc neoantigens that are independent of genetic mutations, advanced techniques leveraging high-throughput sequencing data are essential. One such approach is ribosome profling (RiboSeq), which captures ribosome-protected mRNA fragments to enable global mapping of the translatome, or the translated protein products in tumor and normal tissue  $[125, 126]$  $[125, 126]$  $[125, 126]$  $[125, 126]$ . This provides insights into the active translation landscape of cancer cells, enabling the detection of translated protein products beyond those encoded by mutated genes. In conjunction with RiboSeq, immunopeptidomics emerges as a pivotal methodology for neoantigen discovery. Utilizing mass spectrometry, immunopeptidomics enables the direct identifcation of antigenic peptides presented by MHC class I molecules on the surface of cancer cells  $[88, 127]$  $[88, 127]$  $[88, 127]$  $[88, 127]$  $[88, 127]$ . This technique offers a unique advantage by directly interrogating the repertoire of peptides presented by cancer cells, thus bypassing the need for predictive algorithms based solely on genomic or transcriptomic data. By integrating RiboSeq with immunopeptidomics, researchers can identify a spectrum of tumor-specifc cryptic translation products that may serve as neoantigens.

#### **MHC genotyping**

The genotyping of human MHC, also known as human leukocyte antigen (HLA), determines the MHC alleles present in an individual. This process is important for optimizing presentation of both mutation-dependent and mutation-independent neoantigens. Studies have shown that diferent MHC alleles have their preferred peptide sequences  $[128]$  $[128]$ . Therefore, MHC genotyping is important to accurately predict the peptide antigens presented on the cell surface. Several tools exist to determine MHC alleles from WGS, WES or RNA-seq **(**Additional File [2](#page-18-6): Supplementary Table 1). For MHC class I genotyping, Optitype offers good specificity and selectivity compared to other tools  $[129, 130]$  $[129, 130]$  $[129, 130]$  $[129, 130]$ . There are tools that offer genotyping of both MHC class I and class II from NGS sequencing data, such as seq2HLA [[131\]](#page-21-40), ArcasHLA [[132\]](#page-22-0), and ATHLATES [[133\]](#page-22-1) (Additional File [2:](#page-18-6) Supplementary Table 1). However, current NGS sequencing methods often fail to capture the complete sequence of MHC alleles due to shallow read depth or short-read sequencing setups. Practically, targeted probe capture based clinical tests and Sanger sequencing are preferred [[134\]](#page-22-2).

#### **MHC‑peptide binding prediction**

The presentation of any given antigen on the MHC molecule is a highly selective process infuenced by protein preferences, including proteasome degradation of protein, transporter associated with antigen processing protein (TAP), and MHC loading. Research has demonstrated that specifc positions within 8–11 mer peptides are critical for MHC class I binding [[135\]](#page-22-3). Notably, positions 2 and 9 in 9-mer peptides serve as anchor positions, often favoring hydrophobic amino acids across most HLA genotypes [\[136](#page-22-4)].

Over the years, various methods have been developed for predicting MHC binding, as summarized in Additional File [2](#page-18-6): Supplementary Table 2. Early tools relied on scoring functions and position-weighted matrices, while more recent approaches employ neural networks. The evolution of MHC binding prediction tools has been highly dependent on data, particularly on our understanding of antigen binding data and MHC alleles. Earlier tools were limited by sparse antigen affinity data and a small subset of MHC alleles. However, recent advances have provided more extensive MS peptide elution data (peptidome or ligandome) and immunogenicity data, including rare MHC alleles [[137](#page-22-5)]. As a result, the predictive accuracy of these tools has improved signifcantly over time.

Several tools are available for predicting MHC class I binding in limited MHC alleles or in pan-alleles (Additional File [2](#page-18-6): Supplementary Table 2). For example, Net-MHC is a neural network-based tool to predict peptide binding to a limited selection of MHC class I alleles, trained on antigens from the Immune Epitope Database (IEDB), which primarily includes viral epitopes for common MHC alleles [[138](#page-22-6)]. Conversely, NetMHCpan is a pan-specifc model for MHC class I alleles, integrating binding affinity data with mass spectrometry peptidome data [[139](#page-22-7), [140\]](#page-22-8). In recent years, deep learning models have gained popularity. For example, Gritstone bio's EDGE model uses deep learning neural networks to identify tumor neoantigens from tumor MHC class I peptides via mass spectrometry [\[141\]](#page-22-9). BigMHC, an ensemble neural network model trained on MS peptide elute data and assays of antigen-specifc immune response, claims to be superior to other state-of-the-art tools [[142\]](#page-22-10).

Predicting binding specificity for MHC class II is even more challenging than for MHC class I. MHC class I typically binds shorter peptides (8–11 amino acids), whereas MHC class II binds longer peptides (13–25 amino acids) with greater length variability. This is because the peptide-binding groove of MHC class I has closed ends, while that of MHC class II has open ends, allowing for more diverse peptide sequences and binding to diferent MHC class II molecules [[143,](#page-22-11) [144\]](#page-22-12). Additionally, MHC class II can form heterodimers, adding to its diversity and expanding the search space compared to MHC class I [\[145,](#page-22-13) [146\]](#page-22-14). Given the plethora of MHC binding prediction tools, the IEDB Analysis Resource regularly updates the performance of common predictors through weekly automated benchmarks. As of April 2024, the IEDB recommends neural network-based prediction models Net-MHCpan 4.1 and NetMHCIIpan 4.1 [[147\]](#page-22-15).

#### **TCR tools & immunogenic prediction**

A challenging puzzle in predicting peptide immunogenicity is TCR binding prediction. Once MHC presents the peptide, TCR recognizes the MHC-peptide complex (pMHC) and activates the T cell. A TCR contains two chains: an alpha chain and a beta chain. On each chain there are three regions specifc for recognizing pMHC: the complementarity-determining regions (CDRs) CDR1, CDR2, and CDR3. CDR1 and CDR2 recognize mainly the MHC, while CDR3 is responsible for recognizing the MHC-bound peptide  $[148]$  $[148]$  $[148]$ . Therefore, as opposed to MHC-peptide binding prediction, TCR binding prediction is extremely challenging because there are four components: MHC, peptide, CDR3β, and CDR3α.

Several tools predict the binding of TCR and pMHC **(**Additional File [2](#page-18-6): Supplementary Table 3). Because CDR3β was initially considered more predictive for binding, earlier tools were predominantly trained on CDR3β alone. Later tools, however, were trained on both CDR3α and CDR3β, with some also incorporating CDR1, CDR2, and additional data. Earlier tools also implemented score-based, distance-based, or simple machine learning prediction, while later tools implemented a wide array of deep learning neural networks to predict the binding.

Most of the tools were trained on pMHC-TCR binding data from public databases including VDJdb [\[149](#page-22-17)], McPAS-TCR [\[150](#page-22-18)], Immune Epitope Database (IEDB) [[151](#page-22-19)], TBAdb [\[152\]](#page-22-20), and ImmuneCODE<sup>™</sup> [\[153](#page-22-21)]. Some recent tools also took advantage of datasets from NGSbased assays, such as 10X Genomics Single Cell Immune Profiling [[154](#page-22-22), [155\]](#page-22-23). These single-cell assays allow for higher throughput acquisition of pMHC-TCR binding data [\[156](#page-22-24)].

Similar to peptide MHC binding, there is a benchmark dataset to compare between diferent tools [[157\]](#page-22-25). Overall, most methods have comparable performances across the benchmark dataset, but they do not generalize well to unseen datasets  $[158, 159]$  $[158, 159]$  $[158, 159]$  $[158, 159]$ . This is mostly due to the scarce dataset compared to the vast search space of all possible combinations of pMHC and TCR [[158\]](#page-22-26). Newer technologies such as 10×single-cell TCR sequencing efforts can generate large amounts of data in a single study and would be suitable for future work [[160](#page-22-28)].

#### **Clonality**

One important predictor of neoantigen immunogenicity is clonality. Neoantigens can be clonal or subclonal based on their expression pattern within the tumor. A clonal neoantigen is expressed across all cancer cells in the tumor, while a subclonal neoantigen is only expressed in a portion of the tumor tissue. Studies have shown that clonal neoantigens are a better predictor of response to immunotherapies  $[161]$ . Thus, clonal neoantigens are more likely to be the target of cancer vaccines and immunotherapies. However, some clonal driver mutations might be harder to target due to selection of antigens eliciting immune escape  $[4, 162]$  $[4, 162]$  $[4, 162]$  $[4, 162]$  $[4, 162]$ . This is likely the reason behind the failure of some vaccines or therapeutics targeting shared antigens (e.g. EGFRvIII) in clinical trials [[163,](#page-22-31) [164](#page-22-32)]. Personalized cancer vaccines can address this problem by including a wide range of neoantigens across clonal and subclonal neoantigens  $[4]$  $[4]$ . There are some tools used to estimate clonality using bulk sequencing data [\[165\]](#page-22-33), including PyClone [[166\]](#page-22-34), FastClone [[167](#page-22-35)] and SciClone [\[168\]](#page-22-36). To better resolve neoantigen clonality, single-cell sequencing can be used to pinpoint cell populations that harbor clonal or subclonal mutations. Ongoing developments in spatial transcriptomics could further complement single cell sequencing and reveal functional states of tumor cells in relation to their location and interaction with tumor microenvironment, especially immune cells.

#### **Dissimilarity**

There might be thousands of neo-antigenic peptides identifed from a patient. To shortlist the candidates to a handful of peptides that are manageable and can be validated using cell assays, we need to consider dissimilarity. The importance of dissimilarity to self-antigen is twofold: it prevents possible vaccine-induced autoimmunity and increases immunogenicity.

To prevent possible vaccine-induced autoimmunity, the neoantigens are usually compared with the human proteome or HLA ligandome from normal tissue for similarity. These databases include Uniprot  $[169]$  $[169]$ , Ensembl  $[170]$  $[170]$ , GENCODE [\[171](#page-22-39)], IEDB [\[147\]](#page-22-15), and HLA Ligand Atlas [[172\]](#page-22-40). Usually, the neoantigen candidates that match or are highly similar to normal human HLA ligands are removed or subject to experimental validation.

There are also several endeavors to predict immunogenicity using dissimilarity to self-antigen. For example, Luksza et al. generated a neoantigen fitness model to rank neoantigens and predict tumor response to immunotherapy [[173\]](#page-22-41). Richman et al. included both dissimilarity to self-antigens and homology to pathogens in their model [\[174](#page-22-42)]. These studies have been shown to link neoantigen quality to peptide immunogenicity and clinical outcomes.

#### **Antigen selection and prioritization**

With many diferent tools at hand, each tool predicts neoantigens with the highest score in terms of MHC binding or TCR binding. One challenge lies in integrating all data processing pipelines to select and prioritize neoantigens down to a feasible number for validation. Several open source pipelines such as OpenVax or pVACtools have been published [\[175](#page-22-43), [176](#page-22-44)]. In general, most pipelines use a rank-based or score-based method to flter and prioritize neoantigens [\[177,](#page-22-45) [178](#page-22-46)], although the actual parameters set for each application are usually empirical or proprietary.

#### **Validation of vaccine candidates**

Despite advancements in computational neoantigen predictions, a signifcant proportion of predicted neoantigens fail to elicit T-cell responses. Therefore, functional assays are crucial to validate selection methods and assess the immunogenicity of the selected neoantigens. These assays include tests to confrm TCR recognition, activation, and cytotoxicity, as well as efforts to capture the T-cell repertoire for vaccine validations. Here, we discuss several common assays used to evaluate T-cell properties.

It is important to develop a strategy to validate and prioritize the identifed neoantigens for the development of cancer vaccines. To achieve this, T cells are taken from the patient, either derived from their PBMCs or TILs. These cells can then be co-cultured with neoantigen-loaded APCs [\[179,](#page-23-0) [180](#page-23-1)], and  $CD8 + \text{and/or } CD4 + T\text{-cell}$ activation can be measured through detection of the cytokine IFN-γ using enzyme-linked immunosorbent spot assay (ELISpot) [[181](#page-23-2), [182](#page-23-3)]. This can also be done using intracellular cytokine staining followed by flow cytometry analysis. Positive results indicate that T cells recognize and respond to the neoantigen peptides.

Complementary to T cell activation or TCR recognition assays, cytotoxicity assays assess T-cell killing capabilities [[182–](#page-23-3)[184](#page-23-4)]. TILs can be isolated from tumor samples via fuorescence-activated cell sorting (FACS), expanded, and tested for their ability to recognize and kill cancer cells expressing the target neoantigens. This method provides direct evidence of T-cell recognition and response to neoantigens within the tumor microenvironment.

In vivo models, such as mouse models or humanized mouse models, can also be used to validate the immunogenicity and therapeutic efficacy of neoantigens  $[185,$  $[185,$  $[185,$ [186](#page-23-6). These models involve assessment of the immune response and tumor growth in the mice in response to neoantigen vaccination or adoptive T-cell transfer. However, such an approach is not practical to be incorporated in the validation of the neoantigen vaccination for human usage, due to the time and resources involved.

While the above assays confrm TCR recognition and activity, they do not provide a comprehensive view of the T cell repertoire. Therefore, methods have been developed for unbiased understanding of T cell diversity and neoantigen response. Traditional methods like Sanger sequencing and multiparameter flow cytometry have low sensitivity. High-throughput NGS and targeted TCR sequencing offer better insights into T cell diversity and neoantigen response [\[187](#page-23-7), [188\]](#page-23-8). Single-cell RNA-seq or TCR-seq techniques further enhance our understanding of the number of T cells recognizing specifc epitopes and the overall T cell diversity  $[189, 190]$  $[189, 190]$  $[189, 190]$ . The mutationrelated neoantigen-specifc function extension (MANAF-EST) analysis is also a sensitive platform to determine presence of neoantigen-specifc T cells through antigenic peptide stimulation and TCR sequencing [[191\]](#page-23-11). Taken together, these functional assays are pivotal in validating computational predictions and ensuring the efectiveness of neoantigen-based cancer vaccines. However, the assays are relatively time-consuming and labor intensive, therefore the development of high-throughput and unbiased computational strategies is essential [\[66](#page-20-19)].

Each of the aforementioned validation strategies rely on pre-existing T cell immunity in patients to validate neoantigenic targets. However, a drawback to this is the potential to miss other important neoantigenic epitopes expressed by tumor cells. These neoantigen peptides may be expressed at low levels or presented minimally by MHC molecules on tumor and/or antigen-presenting cells, yet they could still be immunogenic when combined with potent adjuvants. In addition, effector CTLs, once fully activated and differentiated, have a lower activation threshold and require fewer peptide-MHC class I complexes for killing. However, to effectively prime naive T cells, high densities of these complexes are required, which may be insufficient in tumors [[192](#page-23-12)]. Furthermore, the immunosuppressive tumor microenvironment (TME) may inhibit antigen presentation [[193](#page-23-13), [194](#page-23-14)]. Initial priming also often relies on cross-presentation; however, some neoantigens may not cross-present effectively and thus fail to elicit spontaneous immunity [\[75\]](#page-20-42). This is due to tumor mutations often leading to unstable protein products which are rapidly digested by proteasomes

and directly presented on MHC class I molecules in tumor cells five times more efficiently than canonical proteins [[93](#page-21-3)]. Consequently, when unstable antigens are poorly cross-presented, CTLs may not be primed efficiently [\[74,](#page-20-27) [195](#page-23-15)]. A potent vaccine along with adjuvants may be able to overcome this challenge [[196\]](#page-23-16). Altogether, neoantigen peptides can serve as excellent targets for tumor eradication, so efforts should be taken to ensure that these epitopes are not overlooked.

#### **Vaccine platforms**

Several vaccine platforms have been used for the development of cancer vaccines including peptide-based vaccines, vector-based vaccines, mRNA-based vaccines and DNA-based vaccines (Fig. [2\)](#page-10-0). Along with favorable safety

profles, peptide vaccines were frequently employed in earlier cancer vaccine developments because of the mature peptide synthesis methodology [\[197\]](#page-23-17). Peptide vaccines also have favorable safety profles. While MHCpresented peptides are short (8–12 amino acids) in length, it has been shown that immunizing a long peptide encompassing around 30 amino acids of the antigen more efectively induces sustained efector CD8 T cell reactivity than the minimal peptide [\[198\]](#page-23-18). It is likely because a long peptide ensures the antigen is uptaken and processed by APCs, rather than being loaded onto MHC directly, thereby inducing a comprehensive immune response [[198](#page-23-18)]. Therefore, synthetic long peptides (SLPs), typically 25–35 amino acids in length containing multiple epitopes or longer segments of the target proteins,





\* The Roman numerals (I/II/III) indicate the respective highest clinical trial phases achieved or currently under as of June 2024.<br>\* ChAd, chimpanzee adenovirus; GAd, great ape adenovirus; MVA, modified vaccinia Ankara; LN

<span id="page-10-0"></span>**Fig. 2** Personalized cancer vaccine platforms: relevant clinical trials. Various cancer vaccine platforms have been utilized to deliver neoantigens for cancer immunotherapeutic response, typically targeting APCs to enhance T cell activation. This fgure details some relevant clinical trials for each of the selected types. **a** Peptide vaccines directly deliver neoantigenic peptides for activation of immune response. These were frequently employed in early cancer vaccine developments due to their safety, ease of design, and ability to directly exploit the MHC presentation system. Limitations to this system include low stability and immunogenicity, high cost, and potential insolubility or aggregation. **b** Viral vector vaccines utilize defective or attenuated viruses lacking replicative genes to deliver neoantigen genes into host cells. Advantages include efcient delivery and strong and prolonged immune responses. However, a disadvantage is that the intrinsic immunogenicity to the vector itself may limit efficacy with repeated doses. **c** mRNA vaccines are delivered into the host cell cytoplasm, translated to protein by ribosomes, and processed for MHC presentation. RNA vaccines have recently gained attention for their fexibility and versatility in design, ease of production, and safety. However, they are less stable than DNA vaccines. **d** DNA vaccines must be delivered into the nucleus to be transcribed to mRNA, translated to protein, and processed for presentation. Thus, concerns of DNA vaccines include low transfection efficiency and immunogenicity, and low but potential risk of integration into the genome. However, they are also more stable and long-lasting than RNA vaccines, fexibly designed, and safe. **e** Yeast-based vaccines utilize genetically engineered yeast cells to express neoantigen peptides or proteins, which are released inside APCs once they are phagocytosed. They have gained attention in recent years for their non-pathogenicity, inherent adjuvant nature, and ease of production. However, a limitation is their diferent glycosylation pattern compared to human glycosylation

have become a common design strategy in peptide-based cancer vaccines. However, one limitation of peptide vaccines is their suboptimal immunogenicity, which necessitates the inclusion of immunostimulatory adjuvants such as toll-like receptor (TLR) agonists. These adjuvants are critical for initiating the innate immune response, robust T cell activation, and efective processing against virus infections or cancer cells [\[199](#page-23-19)]. In addition, due to the variable chemical properties of amino acids, some peptide sequences can be less soluble or prone to aggregate, posing potential challenges in vaccine manufacturing [[197\]](#page-23-17).

Vector-based vaccines such as viral, bacterial and yeast vectors have also been widely used in gene therapy and vaccine delivery due to their high potency and ability to accommodate specifc genetic sequences for therapeutic or antigenic purposes. Commonly employed viral vectors include defective or attenuated derivatives of adenovirus, poxviruses, and alphaviruses  $[200]$ . These vectors are engineered to lack essential viral genes necessary for replication, enhancing their safety as delivery platforms [\[200\]](#page-23-20). Viral vectors have established a robust safety profile and efficacy in various applications, evidenced by their use in approved vaccines for COVID-19 and Ebola [[200\]](#page-23-20). However, their intrinsic immunogenicity is a double-edged sword. While capable of eliciting strong innate and adaptive immune responses that are prolonged and durable, the resulting neutralizing antibodies can hinder subsequent re-immunization using the same vector, thereby limiting options for repeated dosing [\[201\]](#page-23-21). Additionally, pre-existing immunity to commonly used vectors like adenovirus or measles in some patients can diminish the efficacy of the therapy or the response to booster doses [[201](#page-23-21)]. To address these challenges, viral vectors can be employed in initial vaccination regimes, with subsequent (booster) doses delivered via other vector types [[202](#page-23-22)]. Alternatively, viral vectors engineered from other species, such as chimpanzee and great ape adenoviral vectors, can be used to circumvent immunity issues and enhance the overall effectiveness of the vaccination strategy [\[105,](#page-21-14) [203\]](#page-23-23). New avenues of cancer vaccine delivery have also been on the rise, including yeast vectors. For example, a current phase I clinical trial (NCT03552718) is evaluating the safety and efficacy of the YE-NEO-001 vaccine derived from a heat-killed yeast engineered to express neoantigen peptides, for patients with potentially curatively treated solid cancer. Yeast vectors have inherent adjuvant nature and can be produced rapidly and cost-efectively. One limitation to keep in mind, however, is the signifcant diference in glycosylation pattern between yeast and human species. Thus, production in yeast must consider optimization for human-like glycosylation [[204](#page-23-24)].

Despite the advancements in peptide- and vector-based vaccines, nucleic acid-based vaccines offer several key advantages. (1) Nucleic acid-based vaccines can engage more efficiently with MHC class I and class II presentation than peptide-based vaccines [[205\]](#page-23-25). (2) Nucleic acid-based vaccines have a simpler, quicker, and cheaper production process than peptide- and vector-based vac-cines [\[206](#page-23-26)]. This facilitates easier modification to address manufacturing obstacles in the context of personalized vaccines. (3) Multidose vaccination regimens are possible without inducing preexisting immunity as with vectorbased vaccines [\[201](#page-23-21)]. (4) Nucleic acid-based vaccines contain fewer additives than peptide-based vaccines in the final solution  $[207, 208]$  $[207, 208]$  $[207, 208]$ . The following section will focus on delivery strategies and technical considerations to enhance nucleic acid-based vaccine developments.

#### **mRNA‑based vaccines**

Research advancements in mRNA therapeutics have surged since the COVID-19 pandemic in 2020, marked by the emergency use of two mRNA vaccines that revolutionized the feld of immunization [[200,](#page-23-20) [209](#page-23-29)]. mRNA vaccines ofer fexible sequence design and permit the encoding of tumor antigens and immunomodulating signals to boost both innate and adaptive immune responses [\[210](#page-23-30)]. Furthermore, unlike DNA vaccines, mRNA vaccines eliminate risks associated with potential host genome integration and allow for transient, highlevel expression of encoded proteins. These vaccines are generated via in vitro transcription and are suitable for rapid large-scale production [\[211](#page-23-31), [212](#page-23-32)]. mRNA vaccines have demonstrated the potential for a rapid response to emerging infectious diseases by delivering genetic materials through well-tolerated carrier platforms, most notably LNPs and liposomes [\[213](#page-23-33)].

Liposomes have been widely used for mRNA vaccine delivery due to their fexibility and modifability [\[214](#page-23-34)]. Recently, a liposomal mRNA delivery system was created by manipulating liposomes with a cholesterol-modifed cationic peptide DP7, with the aim of optimizing delivery of neoantigen mRNA to dendritic cells and enhancing the immune adjuvant efect [\[215\]](#page-23-35). Another example is the mRNA cancer vaccine autogene cevumeran, developed by BioNTech and Genentech for surgically resected PDAC and currently in phase II clinical trials (NCT04161755, NCT05968326). This vaccine utilizes mRNA-lipoplex nanoparticles for delivery to provide increased stability to the mRNA  $[6, 216]$  $[6, 216]$  $[6, 216]$  $[6, 216]$  $[6, 216]$ . Despite these successes, a limitation of lipid vectors is their instability and propensity to aggregate, afecting storability and immune response initiation.

Despite the various delivery strategies listed, RNA vaccines have faced several technical challenges including low translation efficiency and lower stability. Some of these challenges have been addressed in recent years. First, translation efficiency can be enhanced by using codons preferred by eukaryotic ribosomes, optimizing untranslated regions (UTRs), managing GC content, and incorporating nucleotide modifcations [\[217](#page-23-37)]. Second, the molecular stability of mRNA can be improved by optimizing secondary structures and delivery in carriers such as LNPs [[218\]](#page-23-38). Additional considerations regarding mRNA string design are discussed in "Box: Special Considerations for mRNA-based vaccines".

#### **Box: special considerations for mRNA‑based vaccines**

The mRNA-based vaccines have the additional layer of complexity in string design. A huge amount of efort has been dedicated to disentangle the machinery involved in the translation of mRNA to provide guidance in string design.

RNA acquires secondary structure as it is synthesized. The folding structure of a RNA molecule is the result of interplay among base pairing [\[219\]](#page-23-39), positively charged ions [\[220](#page-23-40)], and RNA binding proteins (RBP) [[221\]](#page-23-41). Structures of manufactured RNA tend to be more predictable because of the control of available RBP or lack thereof. On the other hand, the structure of RNA synthesized within cells can be cell-type dependent due to difering compositions of RBP [\[221](#page-23-41)]. Stability of RNA structure can be partially determined using the minimum free energy (MFE) calculation [[222\]](#page-23-42), which considers thermodynamic parameters from base pairing and formation of loops, bulges, or other structures. However, most algorithms do not consider the infuence of RBP and thus might require additional validation and revision on its structure when RBP is present.

Both primary and secondary structures of RNA contribute to stability and translatability. The composition of nucleotides and sequence of the RNA dictate potential base pairing. As cytosine and guanine pairing results in three hydrogen bonds as opposed to two in the case of adenosine and uridine pairing, RNA molecules with higher GC content could be more structured. The length, location, and strength of palindromic sequences can determine which types of secondary structure are more stable and likely to exist longer for a given RNA molecule. The formation of secondary structure also has an impact on whether parts of the RNA are accessible for RBP [[223\]](#page-23-43) and inhibitory nucleotides like miRNA [[224](#page-23-44)]. Generally speaking, more structured RNA has lower translatability and longer half-life  $[225]$  $[225]$  $[225]$ . These two characteristics could result in a smoother and longer-lasting

dosing curve rather than an expression spike that disappears shortly. Possible mechanisms for the low translatability and longer half-life include the need for ATP-powered unwinding by helicase [\[226\]](#page-24-0), and the spacing out of ribosomes to avoid RNA decay mechanisms triggered by ribosome stalling or collision [\[227](#page-24-1)]. Despite potential contribution of secondary structures and higher GC content, immunity against overly structured RNAs or RNAs with high GC content could be catastrophic [[228](#page-24-2)[–230](#page-24-3)].

Non-canonical nucleotides change the thermodynamic properties of RNA molecules and interaction with RBP. Studies have shown that non-canonical nucleotides could prevent RNA molecules from triggering unwanted immune responses via TLR pathways  $[231]$ . The incorporation of modified nucleotides has also been shown to infuence secondary structure [[232\]](#page-24-5) and RBP interactions [[233\]](#page-24-6). Certain structure predictions of given RNA molecules have incorporated experimentally determined characteristics of modifed nucleotides [\[234,](#page-24-7) [235](#page-24-8)]. However, more general predictions of translatability and stability might be warranted.

An mRNA molecule can be divided into the following functional regions: 5' UTR, coding sequence [\[236](#page-24-9)], 3' UTR, and poly-A tail. Besides overall considerations mentioned in the previous paragraphs, CDS additionally requires codon optimization. The 20 canonical amino acids are encoded by 64 3-nucleotide codons. Overuse of a specifc codon could deplete corresponding tRNA leading to slowing down or stalling of translation [[237](#page-24-10)]. One of the major goals in RNA optimization algorithms is to manage usage of each codon while maintaining the desired amino acid sequence. These algorithms often explore different combinations of RNA string sequence to balance relative synonymous codon usage [\[238–](#page-24-11)[240\]](#page-24-12) or minimize tRNA adaptation index [[241](#page-24-13)] which incorporates translation efficiencies of each codon-anticodon pairing.

#### **mRNA‑loaded dendritic cell‑based vaccine**

Ex vivo modifed dendritic cells, such as those loaded with mRNA encoding tumor antigens, can also be utilized for cancer vaccination. To prepare these DC-based vaccines, monocytes are frst isolated from patients and diferentiated into DCs in culture with factors such as granulocyte–macrophage colony-stimulating factor  $(GM-CSF)$  and interleukin-4  $(IL-4)$   $[242]$  $[242]$ . Then, these DCs can be transfected with mRNA of interest before the entire complex is transfused back into the patient.

One example is a Phase 1 trial investigating the use of TLR7/8-matured DCs transfected with mRNA encoding for tumor-associated antigens WT1 and PRAME to treat AML patients (NCT01734304) [\[243](#page-24-15)]. Results indicated that this treatment method was feasible, safe, and suffcient to induce an antigen-specifc immune response. Another example is a Phase 2 trial for PSA, PAP, survivin, and hTERT mRNA-transfected DCs to treat patients with metastatic castration-resistant prostate cancer. This DCbased cancer vaccine was delivered in conjunction with docetaxel for this patient population and was proven to be safe (NCT01446731) [[244\]](#page-24-16). Additional File [1:](#page-18-5) Table 7 provides a comprehensive list of mRNA-loaded dendritic cell-based vaccines targeting TAAs, TSAs, and personalized neoantigens.

#### **DNA‑based vaccine**

Currently, less clinical trials for cancer vaccine development have employed DNA vaccines compared to RNA vaccines. DNA must be delivered to the nucleus to be

able to be transcribed, and thus needs to overcome both the cell membrane and nuclear envelope [\[245,](#page-24-17) [246](#page-24-18)]. Despite this, DNA has various advantages compared to RNA and overcoming the delivery hurdle may enable its more widespread use. Figure [3](#page-13-0) illustrates the various delivery strategies and types of DNA-based constructs for delivery in current vaccine developments.

First, the safety and tolerability of DNA vaccines has been confrmed through many clinical trials [[247–](#page-24-19)[249](#page-24-20)]. Data has demonstrated that the risk of DNA vaccines integrating into the genome is lower than that of spontaneous mutations in the genome [[246,](#page-24-18) [250\]](#page-24-21). Furthermore, DNA vaccines are much more stable and thus are often delivered as "naked DNA vaccines" without pack-aging, causing fewer undesirable effects [[9,](#page-19-3) [251](#page-24-22), [252](#page-24-23)]. In contrast, RNA vaccines typically require packaging with biomaterials such as lipid nanoparticles (LNPs) which contain polyethylene glycol (PEG) which may induce serious allergic efects. Without the need for LNPs, this also lowers DNA vaccine production cost



<span id="page-13-0"></span>**Fig. 3** Delivery strategies for the various types of DNA-based constructs. There are various types of DNA vectors for delivery, including plasmid DNA (pDNA), minicircle DNA (mcDNA), nanoplasmid DNA (npDNA), and doggybone DNA (dbDNA). Unlike pDNA, these new forms lack the prokaryotic sequences that induce infammation and the genes for antibiotic resistance. mcDNA are excised by a recombinase enzyme, leaving only the gene of interest and necessary regulatory elements. npDNA uses antisense RNA for plasmid selection without using antibiotic resistance markers. dbRNA generates a linear, covalently closed DNA without using bacterial sequences. There are fve strategies to deliver these DNA constructs. One physical method is using a gene gun, which coats the DNA on heavy metals to be ejected into tissue. Another method is using microneedle, which are tiny needles (<1 mm) to deliver DNA only to the outermost layer of the skin. This layer is enriched with immune cells such as Langerhan cells, which would facilitate in leading to a potent immune response. A third method is a needle-free biojector, which generates a high-pressure liquid stream to push the DNA into tissue without needles. A fourth method is to use microparticles (1-1000 µm) to encapsulate the DNA for controlled and targeted delivery into specifc tissues. The last method is to use electroporation-mediated needle delivery, which uses electric felds to disrupt the membrane transiently. This allows the delivered DNA to enter the cells more efficiently

compared to RNA vaccines and makes it possible to scale-up production [\[246,](#page-24-18) [253](#page-24-24)]. Another key advantage to DNA vaccines is its long-lasting efect compared to mRNA, as it can persist stably and non-integrated in the cell nucleus for up to 6 months, unlike RNA which is degraded rapidly in the cytoplasm [[254](#page-24-25)].

Various methods have been employed for DNA vaccine delivery. Due to the need to pass through both the cell membrane and enter the nucleus, simple intramuscular (I.M.) injection of naked DNA has the limitation of low transfection efficacy. Thus, DNA vaccines are generally administered through electroporation, which enhances uptake and generates an immunogenic response. Despite the efficacy of DNA vaccines, the specialized equipment required for electroporation techniques poses signifcant limitations for mass vaccination eforts, as shown during the COVID-19 pandemic [\[200\]](#page-23-20). Consequently, research continues into alternative delivery platforms to expand accessibility and application. Other physical methods include the gene gun (DNA coated on heavy metals and ejected with high force into tissue), jet injection (highpressure liquid stream to penetrate the skin without use of needles), and microneedles (small arrays of microsized needles which reach into the epidermis and dermis). These methods enable intradermal (I.D.) delivery, which has been shown to improve the immunogenicity of DNA vaccines compared to the typical I.M. injection. Due to the higher prevalence of APCs in the skin than the muscle, I.D. delivery allows their direct transfection, leading to enhanced antigen presentation [[255,](#page-24-26) [256](#page-24-27)]. Multiple clinical trials currently employ such methods. For instance, a phase I clinical trial (NCT00199849) employing gene gun delivery of NY-ESO-1 DNA plasmid vaccine (pPJV7611) demonstrated that in 15 patients without antigen-specifc immune response, 93% developed CD4 T cell response and 33% developed CD8 T cell responses post-vaccination, although the response was not longlived, potentially due to regulatory T cell interaction [\[15](#page-19-9)]. GNOS-PV02 (NCT04251117), mentioned previously and currently in phase I/IIa trials, employs I.D. injection followed by electroporation to deliver a personalized DNA vaccine and led to signifcant neoantigen-specifc CD4 and CD8 T cell responses in 86.4% of patients [\[101\]](#page-21-10).

Numerous alternative methods also exist to target APCs, particularly DCs, to increase immunogenicity of DNA vaccines. For instance, DNA vaccines may encode the target antigen to heat shock protein 70 (HSP70) which can bind to DC surface receptors for receptormediated endocytosis and cross presentation [\[257](#page-24-28)]. For example, a naked DNA vaccine encoding HPV-16 E7 linked to HSP70 (pNGVL4a-Sig/E7 (detox)/HSP70 DNA vaccine) has been tested in the phase I/II clinical trial (NCT00121173) for patients with HPV16+cervical precancerous lesions [\[258\]](#page-24-29). Fms-like tyrosine kinase 3 ligand (FLT3L) which can bind the DC FLT3 receptor to promote their proliferation and diferentiation, has also been included in a DNA vaccine to enhance immune activation [\[259\]](#page-24-30). A phase II clinical trial (NCT02139267) tested GX-188E, a DNA vaccine for patients with HPV+cervical precancerous lesions encoding FLT3L together with HPV16 and HPV18 E6 and E7 genes [\[260](#page-24-31)]. Another method to enhance DC presentation is through DNA vaccine encoding the target antigen with calreticulin (CRT), which signals DCs to phagocytose the antigen and process it for MHC presentation [[261\]](#page-24-32). For instance, a phase I clinical trial tested pNGVL4a-CRT/E7(Detox), an HPV DNA vaccine for HPV+head and neck cancer (NCT01493154).

Furthermore, addressing the rapid degradation of naked DNA by nucleases is important to increase their efficacy. By protecting the DNA from degradation by encapsulation with synthetic carriers, delivery across the cell membrane can be improved. For instance, a phase II/III clinical trial (NCT00264732) tested Amolimogene (ZYC101a), a plasmid DNA encoding HPV-16 and HPV-18 E6 and E7 epitopes encapsulated in poly-lactide coglycolide microparticles, in patients with  $HPV +$ cervical precancerous lesions [[262,](#page-24-33) [263\]](#page-24-34). Incorporating a nuclear localization signal (NLS) can also enhance DNA vaccine entry into the nucleus via nuclear pore complexes (NPCs) [[264–](#page-24-35)[266](#page-24-36)].

New forms of DNA vectors have gained interest recently, including minicircle DNA (mcDNA), nanoplasmid DNA, and doggybone DNA (dbDNA). Compared to traditional plasmid DNA (pDNA), these new forms eliminate the prokaryotic sequences which have been shown to produce infammatory reactions, and they lack the genes for antibiotic resistance which could increase the incidence of antibiotic-resistant infections [\[267](#page-24-37)]. Their resulting smaller backbone size also provides a further benefit in enhancing transfection efficiency  $[268,$  $[268,$  $[268,$ [269](#page-24-39)]. mcDNA, or "minicircles" were frst introduced in 1997  $[270]$  $[270]$  $[270]$ . They are derived from traditional pDNA, which are then introduced into bacteria and excised by a recombinase enzyme to remove the bacterial backbone. This leaves only the "minicircle" containing the gene of interest and necessary regulatory elements [[271](#page-24-41), [272](#page-24-42)]. Despite its improvements over pDNA, the cost to manufacture mcDNA is high due to the complicated production process, and the short bacterial sequence still remains post-recombination. Nanoplasmid DNA, generated in the early 200 s, improves upon this by using an "antibiotic-free selection system" using an antisense RNA (RNA-OUT) to repress expression of a counter-selectable marker (sacB), which enables for plasmid selection without the need for antibiotic resistance markers [[273](#page-25-0),

[274](#page-25-1)]. This method still retains minimal bacterial backbone, but has shown promising transfection efficiency up to ten times that of pDNA [\[269,](#page-24-39) [275](#page-25-2)]. More recently in 2017, dbDNA was able to eliminate the need for bacterial sequences through a fully in vitro process involving enzymes (Phi29 DNA polymerase and a protelomerase) to generate linear, covalently closed DNA [[276\]](#page-25-3). This novel method is thus capable of rapid and large-scale production of the minimal construct, making it suitable for advancing gene therapies.

#### **Limitations in cancer vaccine efficacy and potential solutions**

Even with the translation of a potent cancer vaccine from bench to bedside, there are still limitations that may hinder its efficacy. These obstacles can be distinguished from factors afecting vaccine-induced T cells and the tumor microenvironment itself.

First, cancer cells may opt for low MHC class I expression, which enhances their resistance against cytotoxic T cell activity. This downregulation can result from various defects in the MHC class I pathway, including mutational, transcriptional, translational, post-transcriptional, post-translational, and epigenetic alterations [\[277](#page-25-4)]. Therefore, a primary strategy is to upregulate MHC class I expression using cytokines (TNF, IL-1, type I and II interferons), STING agonists, or TLR agonists [\[278](#page-25-5)]. For instance, Propper et al. demonstrated that low-dose IFN-γ administration can increase MHC class I and II expression in metastatic melanoma [\[279](#page-25-6)]. Another strategy is chemoradiation, but this method can increase both MHC class I expression and tumor immunosuppression  $[280-282]$  $[280-282]$ . Therefore, further studies are required to determine the optimal dose of chemoradiation to minimize the immunosuppressive side efects. A recent approach involves using small-molecule inhibitors to block key proteins that dysregulate the global regulatory network for antigen presentation [[283\]](#page-25-9). However, this strategy would entail a genome-wide screening frst to identify novel regulatory proteins that contribute to the subversion of MHC molecules [[283\]](#page-25-9).

Another major obstacle is the inherent immunosuppression of the tumor ecosystem, which constantly remodels tumor cells, blood vessels, and cancer-associated fbroblasts to favor tumor growth and survival by curbing immune cell function [[284,](#page-25-10) [285\]](#page-25-11). First, this characteristic can manifest as a physical barrier blocking out immune cells. A well-studied example is the desmoplastic nature of PDAC, which physically impedes T cell migration into and within the TME  $[286, 287]$  $[286, 287]$  $[286, 287]$  $[286, 287]$  $[286, 287]$ . There are several strategies to overcome this physical barrier, which includes blocking the TGF  $β$ , Wnt- $β$ -catenin, and Hippo signaling pathways [[288–](#page-25-14)[290](#page-25-15)]. Second, transmigration of vaccine-induced T cells can be hindered by poor vascularization, which is often observed in pancreatic ductal adenocarcinoma too [\[291\]](#page-25-16). Consequently, this may also contribute to low intratumoral chemokine levels. It has been shown that low CCL4, CCL5, and CCL20 can impair DC entry into the tumor microenvironment for cross-presentation [\[292](#page-25-17), [293](#page-25-18)]. General strategies include the use of chemo or radiotherapy to promote vascular leakage. Olive et al. reported a unique strategy to increase vascularization by disrupting the hedgehog signaling to reduce tumor-associated stromal fbroblasts [[294\]](#page-25-19).

In addition, many immunosuppressive cells are present in the tumor environment, causing impaired efector functions. Immunosuppressive players include regulatory T cells, phenotypic-M2 macrophages, cancer-associated fbroblasts, and myeloid-derived suppressor cells [[295](#page-25-20), [296](#page-25-21)]. T cell efector functions can be compromised when PD-1 on T cells contact with PD-L1 ligands [[297,](#page-25-22) [298](#page-25-23)]. In addition, cytokines—such as IL-10 and TGF $\beta$ —and metabolites—such as IDO—can suppress efector function and proliferation of vaccine-induced CD4+and CD8+T cells [[299](#page-25-24)[–301](#page-25-25)]. On the macroscopic scale, physical conditions such as hypoxia, low extracellular pH, and high interstitial fuid pressure can all contribute to impairment of these tumor-infltrating T cells  $[302]$  $[302]$ . It is currently difficult to devise a strategy against these immunosuppressive factors because the intratumoral landscape is highly complex and dynamic in nature. Some efforts to reverse this immunosuppression include the use of ICI, hypoxia-directed cytotoxic drugs, and blockage of suppressive metabolite production using small-molecule inhibitors [[303–](#page-25-27)[305](#page-25-28)].

High tumor burden and hypoxia often lead to T cell exhaustion, which could limit vaccine efectiveness as it leads to diminished T cell activity and proliferation, as well as increased expression of inhibitory receptors and T cell death [\[306](#page-25-29)]. T cell dysfunction and exhaustion are driven by persistent antigen exposure leading to sustained TCR stimulation and subsequent metabolic dysregulation and upregulation of inhibitory receptors, as well as metabolic stress induced by the hypoxic environment. Combating potential T cell exhaustion in vaccine-induced T cells may involve concurrent immune checkpoint blockade, inhibiting DNA methylation enzymes known to induce exhaustion-specifc DNA methylation patterns in T cells (such as DNMT3A, DNMT1, and DNMT3B), or reducing overactive TCR signaling by blocking downstream kinases [\[307,](#page-25-30) [308](#page-25-31)]. In addition, targeting metabolic pathways (such as inhibiting excessive glycolysis or enhancing fatty acid oxidation to support memory T cell development), or combination with adoptive T cell therapy may increase the efficacy of neoantigen vaccines.

Finally, in advanced cancers, efficacy of neoantigen vaccines may not be as efective due to challenges of tumor size and metastasis. While small, pre-metastatic tumors may be efectively treated with neoantigen vaccines, metastatic cancers may undergo epitope editing and clonal selection which can reduce vaccine efficacy, and the difering metastatic TMEs may create an additional challenge [[309](#page-25-32)]. In such cases, combination therapies of surgery, chemo or radiotherapy, or other immunotherapies such as adoptive T cell transfer together with neoantigen vaccination may be necessary for clinical beneft [[307\]](#page-25-30).

#### **Clinical considerations and future directions**

Personalized cancer vaccines have been utilized in two general clinical settings: one as an adjuvant to standard therapies for surgically resectable, relatively early-stage cancers, and the second targeting advanced, metastatic cancers as a maintenance treatment. In adjuvant settings, the clinical trials administer the cancer vaccines after the patients have undergone cancer resection. The vaccine is often administered with follow-up chemotherapy or checkpoint inhibitor therapy to eliminate residual cancer cells and prevent micrometastases, with the primary endpoint typically being RFS**.** Examples of this strategy include Moderna's mRNA-4157 for surgically resected melanoma (NCT03897881, NCT05933577) and surgically resected NSCLC (NCT06077760), Transgene's TG4050 for surgically resected head and neck squamous cell carcinoma of the head and neck (HNSCC) (NCT04183166), and BioNTech and Genentech's autogene cevumeran for surgically resected pancreatic ductal adenocarcinoma (PDAC) (NCT04161755, NCT05968326) [\[1](#page-18-0), [5](#page-18-4), [6](#page-19-0)].On the other hand, for trials targeting metastatic cancers, the goal is to maintain disease control. Therefore, the primary endpoints are typically progression-free survival (PFS) or molecular readouts representing disease control. Examples include BioNTech and Genentech's autogene cevumeran for metastatic, unresectable melanoma (NCT03815058), Gritstone's GRANITE (GRT-C901/GRT-R902) for metastatic microsatellite stable colorectal cancer (MSS-CRC) (NCT05141721), and Evaxion's EVX-01 for metastatic, unresectable melanoma (NCT05309421).

There are pros and cons to testing these personalized cancer vaccines in these two demographics. Targeting surgically resectable cancer could reach a larger patient population, as earlier stage cancers are more common. However, these trials are fnancially demanding due to the long-term follow-up required to determine recurrence rates. For example, in Moderna's mRNA-4157 phase II trial for melanoma (KEYNOTE-942/NCT03897881), RFS was monitored for up to 5 years. Conversely, targeting advanced disease is traditionally preferred for new cancer therapeutics due to enhanced patient recruitment and quicker outcomes. However, cancer vaccines tested in advanced disease patients may not necessarily demonstrate effectiveness, as their efficacy depends on a healthy

stage patients. The current momentum seems to favor the use of personalized cancer vaccines as an adjuvant treatment in surgically resectable cancers. As of April 2024, vaccine candidates for surgically resectable cancers have reported promising results. The mRNA-4157 in combination with pembrolizumab demonstrated longer recurrence-free survival and less recurrence and death rate than pembrolizumab alone in a phase IIb study for surgically resected melanoma [[5](#page-18-4)]. Transgene also reported no recurrence in the vaccine group in a phase I study of TG4050 for surgically resected head and neck cancer (NCT04183166). Conversely, Gritstone reported suboptimal interim analysis of phase II trial using GRT-C901/ GRT-R902 for metastatic MSS-CRC, where circulating tumor DNA (ctDNA) reduction was used as the primary endpoint [\[310\]](#page-25-33).

immune system, which may be compromised in late-

The promising results of vaccines in surgically resectable cancer may be due to the efectiveness of T cells in eliminating cancer cells before an immunosuppressive TME is established. Additionally, in patients with advanced cancer, the impaired host immunity may hamper robust vaccination effects. Therefore, the needleto-needle time between biopsy for personalized cancer vaccine development and vaccination in late-stage cancers becomes an important consideration. One strategy is to combine the use of shared antigen vaccines and personalized vaccines. In this case, following biopsy, the patient would first receive a dose of an off-the-shelf shared antigen (TSA/TAA) vaccine that is available for common HLA types before later receiving doses of personalized cancer vaccine.

In the future, administering cancer vaccines in patients with earlier-stage/pre-cancer lesions can position this treatment as a preventive cancer vaccine for high-risk populations. The preventive vaccination would include frequently occurring cancer neoantigens, which can be identifed by analyzing a growing number of patients over time or through analysis of databases such as The Cancer Genome Atlas (TCGA) and Genotype-Tissue Expression project (GTEx). Figure [4](#page-17-0) provides a summary of the developmental pipeline of personalized cancer vaccines, from sample acquisition to vaccine production.



<span id="page-17-0"></span>**Fig. 4** Pipeline for personalized cancer vaccine development. **a** Sample acquisition. Samples are acquired from patients' tumor biopsies, with PBMCs or matched normal tissues as germline controls. **b** Neoantigen identifcation. Potential neoantigens are then identifed from these samples. For mutation-dependent neoantigen identifcation, variant callers can be used to identify genetic variants in DNA- or RNA-seq data. For mutation-independent neoantigen identifcation, RiboSeq and/or immunopeptidomics can identify cryptic neoantigens generated from alterations in transcription or translation. Sequencing data is further used to determine the individual's MHC type (MHC genotyping). **c** Neoantigen prioritization. Assessment of neoantigen immunogenicity and safety is done through neoantigen prioritization, including MHC binding prediction to identify and prioritize neoantigens with high binding afnity to a patient's unique MHC molecule, immunogenic prediction to determine binding efficiency between the TCR and MHC-peptide complex for T cell activation, determination of neoantigen clonality to efectively target the tumor, and neoantigen dissimilarity from self-peptide to prevent autoimmune response. **d** Neoantigen validation. Upon identifying potential neoantigen candidates, functional assays are crucial for their validation. To identify neoantigen-specifc T cells, MHC tetramers may be synthesized and loaded with the neoantigenic peptides to bind these T cells for quantifcation by fow cytometry or cell sorting. Functional response of T cells to the neoantigens may be measured by TCR activation assays or T cell killing assays. Finally, the TCR repertoire may be analyzed via sequencing methods to assess the diversity and clonality of the neoantigen-specifc T cell response. **e** Vaccine manufacture. The target neoantigens can then be synthesized into cancer vaccines, most commonly peptide, DNA, and RNA vaccines. **f** Personalized vaccine. The fnal personalized vaccine may include adjuvants or utilize a particular delivery platform (such as nanoparticles) to enhance delivery efciency and immunogenicity. The needle-to-needle time at the bottom of the fgure indicates the time from acquisition of the tumor sample to the generation of the personalized vaccine—this process must be done in a timely manner in order to ensure therapeutic efect for the patient

#### **Conclusions**

The success of cancer vaccines hinges on several factors, including neoantigen quality, vaccine platform, vaccination obstacles, and host immune system. High quality neoantigens are typically tumor-specifc, predominantly clonal, and immunogenic. Although it remains challenging to predict an antigen's TCR binding affinity and immunogenicity, more data and better algorithms are driving this field forward. The advent of newer and flexible vaccine platforms is changing the vaccine feld. However, we should take into consideration that diferent vaccine platforms may induce diferent patterns of CD4 or CD8 T cell responses [\[311](#page-25-34)]. Furthermore, we must overcome several obstacles to efective cancer vaccination, including the immunosuppressive features of the TME, T cell exhaustion, and challenges of tumor size and metastasis. Finally, a functional host immune system is crucial to translate a vaccine's immunogenicity to its clinical efficacy. Vaccines should not only activate T cells but also generate memory responses to ensure long-term control. To address this, there have been several clinical trials combining cancer vaccines with ICI therapies or chemotherapies or using cancer vaccines as an adjuvant

therapy post-surgery in early-stage cancers [[312\]](#page-25-35). Moreover, recent advancements in vaccine platforms and computational tools have led to promising results in clinical trials. We anticipate that therapeutic cancer vaccines will play a crucial role in cancer immunotherapy in the foreseeable future.

#### **Abbreviations**





#### **Supplementary Information**

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1186/s12929-024-01082-x) [org/10.1186/s12929-024-01082-x.](https://doi.org/10.1186/s12929-024-01082-x)

<span id="page-18-5"></span>Supplementary material 1: Table 1. mRNA Vaccines Targeting Tumor-Associated Antigens. Table 2. DNA Vaccines Targeting Tumor-Associated Antigens. Table 3. mRNA Vaccines Targeting Viral Tumor-Specifc Antigens. Table 4. DNA Vaccines Targeting Viral Tumor-Specifc Antigens. Table 5. mRNA Vaccines Targeting Personalized Neoantigens. Table 6. DNA

<span id="page-18-6"></span>Vaccines Targeting Personalized Neoantigens. Table 7. mRNA-loaded Dendritic Cell Vaccine.

Supplementary material 2: Table 1. Methods for MHC I and II Genotyping. Table 2. Methods for MHC Binding Prediction. Table 3. Methods for TCR Binding Prediction.

#### **Acknowledgements**

We thank everyone involved for their efforts in the writing and critical review of this manuscript. All fgures are created with BioRender.com.

#### **Author contributions**

Conceptualization: WY.C, Y.H, HC.H, HH.K, SH.L, CT.K, J.T, D.F, T.C.W. Writing of manuscript: WY.C, Y.H, HC.H, HH.K, SH.L, CT.K, J.T, D.F, T.C.W. Reviewing of manuscript: All authors. Study supervision: CF.H, T.C.W.

#### **Funding**

This study was supported by the National Institutes of Health, National Cancer Institute Specialized Program of Research Excellence (SPORE) in Cervical Cancer grant (NIH/NCI P50CA098252).

#### **Availability of data and materials**

All data relevant to this review are included in the article or uploaded as supplementary information. Data and materials are available upon reasonable request.

#### **Declarations**

**Ethics approval and consent to participate** Not applicable.

### **Consent for publication**

Not applicable.

#### **Competing interests**

T.C.W reports other from Papivax LLC and Papivax Biotech Inc. outside the submitted work. He is one of the cofounders of, and have an equity ownership interest in, Papivax LLC. In addition, T.C.W owns Papivax Biotech Inc. stock and is a member of Papivax Biotech Inc.'s Scientifc Advisory Board. No disclosures were reported by the other authors.

## Received: 19 July 2024 Accepted: 1 September 2024

#### <span id="page-18-0"></span>**References**

- 1. Ottensmeier CHH, Delord JP, Lalanne A, Lantz O, Jamet C, Tavernaro A, et al. Safety and immunogenicity of TG4050: a personalized cancer vaccine in head and neck carcinoma. J Clin Oncol. 2023;41:6082.
- <span id="page-18-1"></span>2. Aggarwal C, Ben-Shachar R, Gao Y, Hyun SW, Rivers Z, Epstein C, et al. Assessment of tumor mutational burden and outcomes in patients with diverse advanced cancers treated with immunotherapy. JAMA Netw Open. 2023;6:e2311181.
- <span id="page-18-2"></span>3. Quintanilha JCF, Storandt MH, Graf RP, Li G, Keller R, Lin DI, et al. Tumor mutational burden in real-world patients with pancreatic cancer: genomic alterations and predictive value for immune checkpoint inhibitor efectiveness. JCO Precis Oncol. 2023;7:e2300092.
- <span id="page-18-3"></span>4. Lang F, Schrörs B, Löwer M, Türeci Ö, Sahin U. Identification of neoantigens for individualized therapeutic cancer vaccines. Nat Rev Drug Discov. 2022;21:261–82.
- <span id="page-18-4"></span>5. Weber JS, Carlino MS, Khattak A, Meniawy T, Ansstas G, Taylor MH, et al. Individualised neoantigen therapy mRNA-4157 (V940) plus pembrolizumab versus pembrolizumab monotherapy in resected melanoma (KEYNOTE-942): a randomised, phase 2b study. Lancet. 2024;403:632–44.
- <span id="page-19-0"></span>6. Rojas LA, Sethna Z, Soares KC, Olcese C, Pang N, Patterson E, et al. Personalized RNA neoantigen vaccines stimulate T cells in pancreatic cancer. Nature. 2023;618:144–50.
- <span id="page-19-1"></span>7. Kiyotani K, Toyoshima Y, Nakamura Y. Personalized immunotherapy in cancer precision medicine. Cancer Biol Med. 2021;18:955–65.
- <span id="page-19-2"></span>8. Garzia I, Nocchi L, Avalle L, Troise F, Leoni G, Seclì L, et al. Tumor burden dictates the neoantigen features required to generate an efective cancer vaccine. Cancer Immunol Res. 2024;12:440–52.
- <span id="page-19-3"></span>9. Khobragade A, Bhate S, Ramaiah V, Deshpande S, Giri K, Phophle H, et al. Efficacy, safety, and immunogenicity of the DNA SARS-CoV-2 vaccine (ZyCoV-D): the interim efficacy results of a phase 3, randomised, double-blind, placebo-controlled study in India. Lancet. 2022;399:1313–21.
- <span id="page-19-4"></span>10. Jou J, Harrington KJ, Zocca MB, Ehrnrooth E, Cohen EEW. The changing landscape of therapeutic cancer vaccines-novel platforms and neoantigen identifcation. Clin Cancer Res. 2021;27:689–703.
- <span id="page-19-5"></span>11. Fan T, Zhang M, Yang J, Zhu Z, Cao W, Dong C. Therapeutic cancer vaccines: advancements, challenges, and prospects. Signal Transduct Target Ther. 2023;8:450.
- <span id="page-19-6"></span>12. Hollingsworth RE, Jansen K. Turning the corner on therapeutic cancer vaccines. NPJ Vaccines. 2019;4:7.
- <span id="page-19-7"></span>13. Cheever MA, Allison JP, Ferris AS, Finn OJ, Hastings BM, Hecht TT, et al. The prioritization of cancer antigens: a national cancer institute pilot project for the acceleration of translational research. Clin Cancer Res. 2009;15:5323–37.
- <span id="page-19-8"></span>14. Thomas R, Al-Khadairi G, Roelands J, Hendrickx W, Dermime S, Bedognetti D, et al. NY-ESO-1 based immunotherapy of cancer: current perspectives. Front Immunol. 2018;9:947.
- <span id="page-19-9"></span>15. Gnjatic S, Altorki NK, Tang DN, Tu SM, Kundra V, Ritter G, et al. NY-ESO-1 DNA vaccine induces T-cell responses that are suppressed by regulatory T cells. Clin Cancer Res. 2009;15:2130–9.
- <span id="page-19-10"></span>16. Xue W, Metheringham RL, Brentville VA, Gunn B, Symonds P, Yagita H, et al. SCIB2, an antibody DNA vaccine encoding NY-ESO-1 epitopes, induces potent antitumor immunity which is further enhanced by checkpoint blockade. Oncoimmunology. 2016;5:e1169353.
- <span id="page-19-11"></span>17. Papachristoflou A, Hipp MM, Klinkhardt U, Früh M, Sebastian M, Weiss C, et al. Phase Ib evaluation of a self-adjuvanted protamine formulated mRNA-based active cancer immunotherapy, BI1361849 (CV9202), combined with local radiation treatment in patients with stage IV non-small cell lung cancer. J Immunother Cancer. 2019;7:38.
- <span id="page-19-12"></span>18. Sahin U, Oehm P, Derhovanessian E, Jabulowsky RA, Vormehr M, Gold M, et al. An RNA vaccine drives immunity in checkpoint-inhibitortreated melanoma. Nature. 2020;585:107–12.
- <span id="page-19-13"></span>19. van der Bruggen P, Traversari C, Chomez P, Lurquin C, De Plaen E, Van den Eynde B, et al. A gene encoding an antigen recognized by cytolytic T lymphocytes on a human melanoma. Science. 1991;254:1643–7.
- <span id="page-19-14"></span>20. Hannen R, Bartsch JW. Essential roles of telomerase reverse transcriptase hTERT in cancer stemness and metastasis. FEBS Lett. 2018;592:2023–31.
- <span id="page-19-15"></span>21. Middleton G, Silcocks P, Cox T, Valle J, Wadsley J, Propper D, et al. Gemcitabine and capecitabine with or without telomerase peptide vaccine GV1001 in patients with locally advanced or metastatic pancreatic cancer (TeloVac): an open-label, randomised, phase 3 trial. Lancet Oncol. 2014;15:829–40.
- <span id="page-19-16"></span>22. Jo JH, Kim YT, Choi HS, Kim HG, Lee HS, Choi YW, et al. Efficacy of GV1001 with gemcitabine/capecitabine in previously untreated patients with advanced pancreatic ductal adenocarcinoma having high serum eotaxin levels (KG4/2015): an open-label, randomised, Phase 3 trial. Br J Cancer. 2024;130:43–52.
- <span id="page-19-17"></span>23. Vonderheide RH, Kraynyak KA, Shields AF, McRee AJ, Johnson JM, Sun W, et al. Phase 1 study of safety, tolerability and immunogenicity of the human telomerase (hTERT)-encoded DNA plasmids INO-1400 and INO-1401 with or without IL-12 DNA plasmid INO-9012 in adult patients with solid tumors. J Immunother Cancer. 2021;9:e003019.
- <span id="page-19-18"></span>24. Reardon DA, Brem S, Desai AS, Bagley SJ, Kurz SC, De La Fuente MI, et al. Intramuscular (IM) INO-5401+INO-9012 with electroporation (EP) in combination with cemiplimab (REGN2810) in newly diagnosed glioblastoma. J Clin Oncol. 2022. [https://doi.org/10.1200/JCO.2022.40.](https://doi.org/10.1200/JCO.2022.40.16_suppl.2004) [16\\_suppl.2004.](https://doi.org/10.1200/JCO.2022.40.16_suppl.2004)
- <span id="page-19-19"></span>25. Teixeira L, Medioni J, Garibal J, Adotevi O, Doucet L, Durey MD, et al. A frst-in-human phase I study of INVAC-1, an optimized human

telomerase DNA vaccine in patients with advanced solid tumors. Clin Cancer Res. 2020;26:588–97.

- <span id="page-19-20"></span>26. Kang J, Park H-H, Choi JH, Lim J, Jang S-Y, Kim M-A, et al. 897 AST-301, a pDNA-based cancer vaccine encoding HER2-ICD, enhances anti-tumor efect of HER2-ADC in a HER2-expressed gastric cancer xenograft model. J Immunother Cancer. 2023;11:A999.
- <span id="page-19-21"></span>27. Crosby EJ, Gwin W, Blackwell K, Marcom PK, Chang S, Maecker HT, et al. Vaccine-induced memory CD8(+) T cells provide clinical beneft in HER2 expressing breast cancer: a mouse to human translational study. Clin Cancer Res. 2019;25:2725–36.
- <span id="page-19-22"></span>28. Linch M, Papai Z, Takacs I, Imedio ER, Kühnle M-C, Derhovanessian E, et al. 421 A first-in-human (FIH) phase I/IIa clinical trial assessing a ribonucleic acid lipoplex (RNA-LPX) encoding shared tumor antigens for immunotherapy of prostate cancer; preliminary analysis of PRO-MERIT. J Immunother Cancer. 2021;9:A451.
- <span id="page-19-23"></span>29. Madan RA, Arlen PM, Mohebtash M, Hodge JW, Gulley JL. Prostvac-VF: a vector-based vaccine targeting PSA in prostate cancer. Expert Opin Investig Drugs. 2009;18:1001–11.
- <span id="page-19-24"></span>30. Gulley JL, Borre M, Vogelzang NJ, Ng S, Agarwal N, Parker CC, et al. Phase III trial of PROSTVAC in asymptomatic or minimally symptomatic metastatic castration-resistant prostate cancer. J Clin Oncol. 2019;37:1051–61.
- <span id="page-19-25"></span>31. Patel PH, Kockler DR. Sipuleucel-T: a vaccine for metastatic, asymptomatic, androgen-independent prostate cancer. Ann Pharmacother. 2008;42:91–8.
- <span id="page-19-26"></span>32. Small EJ, Schellhammer PF, Higano CS, Redfern CH, Nemunaitis JJ, Valone FH, et al. Placebo-controlled phase III trial of immunologic therapy with sipuleucel-T (APC8015) in patients with metastatic, asymptomatic hormone refractory prostate cancer. J Clin Oncol. 2006;24:3089–94.
- <span id="page-19-27"></span>33. Higano CS, Schellhammer PF, Small EJ, Burch PA, Nemunaitis J, Yuh L, et al. Integrated data from 2 randomized, double-blind, placebo-controlled, phase 3 trials of active cellular immunotherapy with sipuleucel-T in advanced prostate cancer. Cancer. 2009;115:3670–9.
- <span id="page-19-28"></span>34. Kantoff PW, Higano CS, Shore ND, Berger ER, Small EJ, Penson DF, et al. Sipuleucel-T immunotherapy for castration-resistant prostate cancer. N Engl J Med. 2010;363:411–22.
- <span id="page-19-29"></span>35. Lim J, Park H-H, Choi JK, Choi JH, Kang J, Jang S-Y, et al. 817 AST-201 (pUMVC3-hIGFBP2 N-terminus) demonstrates anti-tumor efect in an ovarian cancer mouse model. J Immunother Cancer. 2023;11:A915.
- <span id="page-19-30"></span>36. Han HS, Wesolowski R, Fisher C, Gandhi S, Gwin WR, Kowzun MJ, et al. A multicenter phase II study of vaccines to prevent recurrence in patients with HER-2-positive breast cancer. J Clin Oncol. 2023;41:532.
- <span id="page-19-31"></span>37. Gwin WR, Kuano K, Childs J, Symonds LK, Coveler AL, Liao JB, et al. A phase II study of concurrent WOKVAC vaccination with neoadjuvant chemotherapy and HER2-targeted monoclonal antibody therapy. J Clin Oncol. 2023;41:TPS636.
- <span id="page-19-32"></span>38. de Martel C, Georges D, Bray F, Ferlay J, Clifford GM. Global burden of cancer attributable to infections in 2018: a worldwide incidence analysis. Lancet Glob Health. 2020;8:e180–90.
- <span id="page-19-33"></span>39. Korzeniewski N, Treat B, Duensing S. The HPV-16 E7 oncoprotein induces centriole multiplication through deregulation of Polo-like kinase 4 expression. Mol Cancer. 2011;10:61.
- <span id="page-19-34"></span>40. Albert E, Laimins L. Regulation of the human papillomavirus life cycle by DNA damage repair pathways and epigenetic factors. Viruses. 2020;12:744.
- <span id="page-19-35"></span>41. Sofani VH, Veisi P, Rukerd MRZ, Ghazi R, Nakhaie M. The complexity of human papilloma virus in cancers: a narrative review. Infect Agent Cancer. 2023;18:13.
- <span id="page-19-36"></span>42. Hillemanns P, Denecke A, Woelber L, Böhmer G, Jentschke M, Schjetne KW, et al. A therapeutic antigen-presenting cell-targeting DNA vaccine VB10.16 in HPV16-positive high-grade cervical intraepithelial neoplasia: results from a phase I/IIa trial. Clin Cancer Res. 2022;28:4885–92.
- <span id="page-19-37"></span>43. Bhuyan PK, Dallas M, Kraynyak K, Herring T, Morrow M, Boyer J, et al. Durability of response to VGX-3100 treatment of HPV16/18 positive cervical HSIL. Hum Vaccin Immunother. 2021;17:1288–93.
- <span id="page-19-38"></span>Youn JW, Hur SY, Woo JW, Kim YM, Lim MC, Park SY, et al. Pembrolizumab plus GX-188E therapeutic DNA vaccine in patients with HPV-16-positive or HPV-18-positive advanced cervical cancer: interim results of a single-arm, phase 2 trial. Lancet Oncol. 2020;21:1653–60.
- <span id="page-20-0"></span>45. Peng S, Qiu J, Yang A, Yang B, Jeang J, Wang JW, et al. Optimization of heterologous DNA-prime, protein boost regimens and site of vaccination to enhance therapeutic immunity against human papillomavirusassociated disease. Cell Biosci. 2016;6:16.
- <span id="page-20-1"></span>46. Einstein MH, Roden RBS, Ferrall L, Akin M, Blomer A, Wu TC, et al. Safety run-in of intramuscular pNGVL4a-Sig/E7(detox)/HSP70 DNA and TA-CIN protein vaccination as treatment for HPV16+ ASC-US, ASC-H, or LSIL/ CIN1. Cancer Prev Res (Phila). 2023;16:219–27.
- <span id="page-20-2"></span>47. Klinghammer K, Saba NF, Castelluci E, Colevas AD, Rutkowski T, Greil R*, et al.* 155P BNT113 + pembrolizumab as frst-line treatment in patients with unresectable recurrent/metastatic HNSCC: Preliminary safety data from AHEAD-MERIT. Immuno-Oncol Technol. 2022; 16. [https://www.](https://www.esmoiotech.org/article/S2590-0188(22)00198-8/fulltext) [esmoiotech.org/article/S2590-0188\(22\)00198-8/fulltext](https://www.esmoiotech.org/article/S2590-0188(22)00198-8/fulltext).
- <span id="page-20-3"></span>48. Gibson MK, Savvides P, Worden F, Heimann-Nichols E, Wu T, Roden R, et al. 677 Phase II trial assessing safety, efficacy and immune correlates of heterologous prime-boost with pBI-11 (IM) and TA-HPV (IM) plus pembrolizumab for advanced, PD-L1 CPS≥1, hrHPV+ Oropharyngeal cancer. J Immunother Cancer. 2023;11:A768.
- <span id="page-20-4"></span>49. Young LS, Yap LF, Murray PG. Epstein-Barr virus: more than 50 years old and still providing surprises. Nat Rev Cancer. 2016;16:789–802.
- <span id="page-20-5"></span>50. Huang L, Guo Z, Wang F, Fu L. KRAS mutation: from undruggable to druggable in cancer. Signal Transduct Target Ther. 2021;6:386.
- <span id="page-20-6"></span>51. Rappaport AR, Kyi C, Lane M, Hart MG, Johnson ML, Henick BS, et al. A shared neoantigen vaccine combined with immune checkpoint blockade for advanced metastatic solid tumors: phase 1 trial interim results. Nat Med. 2024;30:1013–22.
- <span id="page-20-7"></span>52. Pan RY, Chung WH, Chu MT, Chen SJ, Chen HC, Zheng L, et al. Recent development and clinical application of cancer vaccine: targeting neoantigens. J Immunol Res. 2018;2018:4325874.
- <span id="page-20-8"></span>53. Romero P, Banchereau J, Bhardwaj N, Cockett M, Disis ML, Dranoff G, et al. The Human Vaccines Project: A roadmap for cancer vaccine development. Sci Transl Med. 2016;8:334ps9.
- <span id="page-20-9"></span>54. Gan HK, Cvrljevic AN, Johns TG. The epidermal growth factor receptor variant III (EGFRvIII): where wild things are altered. Febs j. 2013;280:5350–70.
- <span id="page-20-10"></span>55. An Z, Aksoy O, Zheng T, Fan QW, Weiss WA. Epidermal growth factor receptor and EGFRvIII in glioblastoma: signaling pathways and targeted therapies. Oncogene. 2018;37:1561–75.
- <span id="page-20-11"></span>56. Elsamadicy AA, Chongsathidkiet P, Desai R, Woroniecka K, Farber SH, Fecci PE, et al. Prospect of rindopepimut in the treatment of glioblastoma. Expert Opin Biol Ther. 2017;17:507–13.
- <span id="page-20-12"></span>57. Sadeghi Najafabadi SA, Bolhassani A, Aghasadeghi MR. Tumor cellbased vaccine: an efective strategy for eradication of cancer cells. Immunotherapy. 2022;14:639–54.
- <span id="page-20-13"></span>58. Berd D. M-Vax: an autologous, hapten-modifed vaccine for human cancer. Expert Opin Biol Ther. 2002;2:335–42.
- <span id="page-20-14"></span>59. Sosman JA, Sondak VK. Melacine: an allogeneic melanoma tumor cell lysate vaccine. Expert Rev Vaccines. 2003;2:353–68.
- <span id="page-20-15"></span>60. Ogi C, Aruga A. Clinical evaluation of therapeutic cancer vaccines. Hum Vaccin Immunother. 2013;9:1049–57.
- <span id="page-20-16"></span>61. Gupta RG, Li F, Roszik J, Lizée G. Exploiting tumor neoantigens to target cancer evolution: current challenges and promising therapeutic approaches. Cancer Discov. 2021;11:1024–39.
- <span id="page-20-17"></span>62. Measuring VT, Sequencing T-E. Measuring tumor mutational burden using whole-exome sequencing. Methods Mol Biol. 2020;2055:63–91.
- 63. Rizvi NA, Hellmann MD, Snyder A, Kvistborg P, Makarov V, Havel JJ, et al. Cancer immunology. Mutational landscape determines sensitivity to PD-1 blockade in non-small cell lung cancer. Science. 2015;348:124–8.
- Samstein RM, Lee CH, Shoushtari AN, Hellmann MD, Shen R, Janjigian YY, et al. Tumor mutational load predicts survival after immunotherapy across multiple cancer types. Nat Genet. 2019;51:202–6.
- <span id="page-20-18"></span>65. Charoentong P, Finotello F, Angelova M, Mayer C, Efremova M, Rieder D, et al. Pan-cancer immunogenomic analyses reveal genotype-immunophenotype relationships and predictors of response to checkpoint blockade. Cell Rep. 2017;18:248–62.
- <span id="page-20-19"></span>66. De Mattos-Arruda L, Vazquez M, Finotello F, Lepore R, Porta E, Hundal J, et al. Neoantigen prediction and computational perspectives towards clinical beneft: recommendations from the ESMO Precision Medicine Working Group. Ann Oncol. 2020;31:978–90.
- <span id="page-20-20"></span>67. Shi Y, Jing B, Xi R. Comprehensive analysis of neoantigens derived from structural variation across whole genomes from 2528 tumors. Genome Biol. 2023;24:169.
- <span id="page-20-21"></span>68. Yewdell JW, Antón LC, Bennink JR. Defective ribosomal products (DRiPs): a major source of antigenic peptides for MHC class I molecules? J Immunol. 1996;157:1823–6.
- <span id="page-20-22"></span>69. Turajlic S, Litchfeld K, Xu H, Rosenthal R, McGranahan N, Reading JL, et al. Insertion-and-deletion-derived tumour-specifc neoantigens and the immunogenic phenotype: a pan-cancer analysis. Lancet Oncol. 2017;18:1009–21.
- <span id="page-20-23"></span>70. Hansen UK, Ramskov S, Bjerregaard AM, Borch A, Andersen R, Draghi A, et al. Tumor-infltrating T cells from clear cell renal cell carcinoma patients recognize neoepitopes derived from point and frameshift mutations. Front Immunol. 2020;11:373.
- <span id="page-20-24"></span>71. Yang W, Lee KW, Srivastava RM, Kuo F, Krishna C, Chowell D, et al. Immunogenic neoantigens derived from gene fusions stimulate T cell responses. Nat Med. 2019;25:767–75.
- <span id="page-20-25"></span>72. Wei Z, Zhou C, Zhang Z, Guan M, Zhang C, Liu Z, et al. The landscape of tumor fusion neoantigens: a pan-cancer analysis. iScience. 2019;21:249–60.
- <span id="page-20-26"></span>73. Romanish MT, Cohen CJ, Mager DL. Potential mechanisms of endogenous retroviral-mediated genomic instability in human cancer. Semin Cancer Biol. 2010;20:246–53.
- <span id="page-20-27"></span>74. Schliehe C, Bitzer A, van den Broek M, Groettrup M. Stable antigen is most effective for eliciting CD8+ T-cell responses after DNA vaccination and infection with recombinant vaccinia virus in vivo. J Virol. 2012;86:9782–93.
- <span id="page-20-42"></span>75. Westcott PMK, Sacks NJ, Schenkel JM, Ely ZA, Smith O, Hauck H, et al. Low neoantigen expression and poor T-cell priming underlie early immune escape in colorectal cancer. Nat Cancer. 2021;2:1071–85.
- <span id="page-20-28"></span>Castro A, Zanetti M, Carter H. Neoantigen controversies. Annu Rev Biomed Data Sci. 2021;4:227–53.
- <span id="page-20-29"></span>77. Black JRM, McGranahan N. Genetic and non-genetic clonal diversity in cancer evolution. Nat Rev Cancer. 2021;21:379–92.
- <span id="page-20-30"></span>78. Wright BW, Yi Z, Weissman JS, Chen J. The dark proteome: translation from noncanonical open reading frames. Trends Cell Biol. 2022;32:243–58.
- <span id="page-20-31"></span>79. Starck SR, Shastri N. Nowhere to hide: unconventional translation yields cryptic peptides for immune surveillance. Immunol Rev. 2016;272:8–16.
- <span id="page-20-32"></span>80. Kahles A, Lehmann KV, Toussaint NC, Hüser M, Stark SG, Sachsenberg T, et al. Comprehensive analysis of alternative splicing across tumors from 8,705 patients. Cancer Cell. 2018;34:211-24.e6.
- <span id="page-20-33"></span>81. Schwab SR, Shugart JA, Horng T, Malarkannan S, Shastri N. Unanticipated antigens: translation initiation at CUG with leucine. PLoS Biol. 2004;2:e366.
- <span id="page-20-34"></span>82. Malarkannan S, Horng T, Shih PP, Schwab S, Shastri N. Presentation of out-of-frame peptide/MHC class I complexes by a novel translation initiation mechanism. Immunity. 1999;10:681–90.
- <span id="page-20-35"></span>83. Bullock TN, Eisenlohr LC. Ribosomal scanning past the primary initiation codon as a mechanism for expression of CTL epitopes encoded in alternative reading frames. J Exp Med. 1996;184:1319–29.
- <span id="page-20-36"></span>84. Saulquin X, Scotet E, Trautmann L, Peyrat MA, Halary F, Bonneville M, et al. +1 Frameshifting as a novel mechanism to generate a cryptic cytotoxic T lymphocyte epitope derived from human interleukin 10. J Exp Med. 2002;195:353–8.
- <span id="page-20-37"></span>85. Ingolia NT, Brar GA, Stern-Ginossar N, Harris MS, Talhouarne GJ, Jackson SE, et al. Ribosome profling reveals pervasive translation outside of annotated protein-coding genes. Cell Rep. 2014;8:1365–79.
- <span id="page-20-38"></span>86. Goodenough E, Robinson TM, Zook MB, Flanigan KM, Atkins JF, Howard MT, et al. Cryptic MHC class I-binding peptides are revealed by aminoglycoside-induced stop codon read-through into the 3' UTR. Proc Natl Acad Sci U S A. 2014;111:5670–5.
- <span id="page-20-39"></span>87. Jackson R, Kroehling L, Khitun A, Bailis W, Jarret A, York AG, et al. The translation of non-canonical open reading frames controls mucosal immunity. Nature. 2018;564:434–8.
- <span id="page-20-41"></span>88. Chong C, Coukos G, Bassani-Sternberg M. Identification of tumor antigens with immunopeptidomics. Nat Biotechnol. 2022;40:175–88.
- <span id="page-20-40"></span>89. Ouspenskaia T, Law T, Clauser KR, Klaeger S, Sarkizova S, Aguet F, et al. Unannotated proteins expand the MHC-I-restricted immunopeptidome in cancer. Nat Biotechnol. 2022;40:209–17.
- <span id="page-21-0"></span>90. Malaker SA, Penny SA, Steadman LG, Myers PT, Loke JC, Raghavan M, et al. Identifcation of glycopeptides as posttranslationally modifed neoantigens in leukemia. Cancer Immunol Res. 2017;5:376–84.
- <span id="page-21-1"></span>91. Vigneron N, Stroobant V, Ferrari V, Abi Habib J, Van den Eynde BJ. Production of spliced peptides by the proteasome. Mol Immunol. 2019;113:93–102.
- <span id="page-21-2"></span>92. Liepe J, Ovaa H, Mishto M. Why do proteases mess up with antigen presentation by re-shuffling antigen sequences? Curr Opin Immunol. 2018;52:81–6.
- <span id="page-21-3"></span>93. Ruiz Cuevas MV, Hardy MP, Hollý J, Bonneil É, Durette C, Courcelles M, et al. Most non-canonical proteins uniquely populate the proteome or immunopeptidome. Cell Rep. 2021;34:108815.
- <span id="page-21-4"></span>94. Chalmers ZR, Connelly CF, Fabrizio D, Gay L, Ali SM, Ennis R, et al. Analysis of 100,000 human cancer genomes reveals the landscape of tumor mutational burden. Genome Med. 2017;9:34.
- 95. Zehir A, Benayed R, Shah RH, Syed A, Middha S, Kim HR, et al. Mutational landscape of metastatic cancer revealed from prospective clinical sequencing of 10,000 patients. Nat Med. 2017;23:703–13.
- <span id="page-21-5"></span>96. Alexandrov LB, Nik-Zainal S, Wedge DC, Aparicio SA, Behjati S, Biankin AV, et al. Signatures of mutational processes in human cancer. Nature. 2013;500:415–21.
- <span id="page-21-6"></span>97. 2023 Moderna And Merck Announce mRNA-4157 (V940) In Combination with Keytruda(R) (Pembrolizumab) Demonstrated Continued Improvement in Recurrence-Free Survival and Distant Metastasis-Free Survival in Patients with High-Risk Stage III/IV Melanoma Following Complete Resection Versus Keytruda at Three Years. [<https://investors.](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx) [modernatx.com/news/news-details/2023/Moderna-And-Merck-Annou](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx) [nce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizu](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx) [mab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survi](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx) [val-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx) [Stage-IIIIV-Melanoma-Following-Comple/default.aspx](https://investors.modernatx.com/news/news-details/2023/Moderna-And-Merck-Announce-mRNA-4157-V940-In-Combination-with-KeytrudaR-Pembrolizumab-Demonstrated-Continued-Improvement-in-Recurrence-Free-Survival-and-Distant-Metastasis-Free-Survival-in-Patients-with-High-Risk-Stage-IIIIV-Melanoma-Following-Comple/default.aspx)>. Accessed 20 June 2024.
- <span id="page-21-7"></span>98. Carvalho T. Personalized anti-cancer vaccine combining mRNA and immunotherapy tested in melanoma trial. Nat Med. 2023;29:2379–80.
- <span id="page-21-8"></span>99. Sahin U, Derhovanessian E, Miller M, Kloke BP, Simon P, Löwer M, et al. Personalized RNA mutanome vaccines mobilize poly-specific therapeutic immunity against cancer. Nature. 2017;547:222–6.
- <span id="page-21-9"></span>100. Lopez JS, Camidge R, Iafolla M, Rottey S, Schuler M, Hellmann M, et al. A phase Ib study to evaluate RO7198457, an individualized Neoantigen Specifc immunoTherapy (iNeST), in combination with atezolizumab in patients with locally advanced or metastatic solid tumors. Cancer Res. 2020;80:CT301.
- <span id="page-21-10"></span>101. Yarchoan M, Gane EJ, Marron TU, Perales-Linares R, Yan J, Cooch N, et al. Personalized neoantigen vaccine and pembrolizumab in advanced hepatocellular carcinoma: a phase 1/2 trial. Nat Med. 2024;30:1044–53.
- <span id="page-21-11"></span>102. Krauss J, Krakhardt A, Eisenmann S, Ochsenreither S, Berg KCG, Kushekhar K, et al. Abstract CT274: Individualized APC targeting VB10.NEO cancer vaccines induce broad neoepitope-specifc CD8 T cell responses in patients with advanced or metastatic solid tumors: interim results from a phase 1/2a trial. Cancer Res. 2023;83:CT274.
- <span id="page-21-12"></span>103. Bechter O, D'Alise AM, Leoni G, Cotugno G, Siani L, Vitale R, et al. Abstract LB196: NOUS-PEV, a personalized cancer immunotherapy targeting neoantigens, induces long lasting, tumor infltrating memory T cells. Cancer Res. 2023;83:LB196.
- <span id="page-21-13"></span>104. D'Alise AM, Leoni G, Cotugno G, Siani L, Vitale R, Ruzza V, et al. Phase I trial of viral vector-based personalized vaccination elicits robust neoantigen-specifc antitumor T-cell responses. Clin Cancer Res. 2024;30:2412–23.
- <span id="page-21-14"></span>105. Palmer CD, Rappaport AR, Davis MJ, Hart MG, Scallan CD, Hong SJ, et al. Individualized, heterologous chimpanzee adenovirus and self-amplify‑ ing mRNA neoantigen vaccine for advanced metastatic solid tumors: phase 1 trial interim results. Nat Med. 2022;28:1619–29.
- <span id="page-21-15"></span>106. Delord JP, Block MS, Ottensmeier C, Colon-Otero G, Le Tourneau C, Lalanne A, et al. Phase 1 studies of personalized neoantigen vaccine TG4050 in ovarian carcinoma (OC) and head and neck squamous cell carcinoma (HNSCC). J Clin Oncol. 2022;40:2637–37.
- <span id="page-21-16"></span>107. Chen Z, Zhang S, Han N, Jiang J, Xu Y, Ma D, et al. A neoantigen-based peptide vaccine for patients with advanced pancreatic cancer refractory to standard treatment. Front Immunol. 2021;12:691605.
- <span id="page-21-17"></span>108. Mendez-Gomez HR, DeVries A, Castillo P, von Roemeling C, Qdaisat S, Stover BD, et al. RNA aggregates harness the danger response for potent cancer immunotherapy. Cell. 2024;187:2521-35.e21.
- <span id="page-21-18"></span>109. Villanueva MT. RNA delivery heats up cold tumours. Nat Rev Drug Discov. 2024.<https://doi.org/10.1038/d41573-024-00098-0>.
- <span id="page-21-19"></span>110. Richters MM, Xia H, Campbell KM, Gillanders WE, Griffith OL, Griffith M. Best practices for bioinformatic characterization of neoantigens for clinical utility. Genome Med. 2019;11:56.
- <span id="page-21-20"></span>111. Ang KK, Harris J, Wheeler R, Weber R, Rosenthal DI, Nguyen-Tân PF, et al. Human papillomavirus and survival of patients with oropharyngeal cancer. N Engl J Med. 2010;363:24–35.
- <span id="page-21-21"></span>112. Roudko V, Greenbaum B, Bhardwaj N. computational prediction and validation of tumor-associated neoantigens. Front Immunol. 2020;11:27.
- <span id="page-21-22"></span>113. Bohnert R, Vivas S, Jansen G. Comprehensive benchmarking of SNV callers for highly admixed tumor data. PLoS ONE. 2017;12:e0186175.
- <span id="page-21-23"></span>114. Pei S, Liu T, Ren X, Li W, Chen C, Xie Z. Benchmarking variant callers in next-generation and third-generation sequencing analysis. Brief Bioinform. 2021;22(3):bbaa148.
- <span id="page-21-24"></span>115. Sarwal V, Niehus S, Ayyala R, Kim M, Sarkar A, Chang S, et al. A comprehensive benchmarking of WGS-based deletion structural variant callers. Brief Bioinform. 2022;23:bbac221.
- <span id="page-21-25"></span>116. Gallegos Ruiz MI, Floor K, Rijmen F, Grünberg K, Rodriguez JA, Giaccone G. EGFR and K-ras mutation analysis in non-small cell lung cancer: comparison of parafn embedded versus frozen specimens. Cell Oncol. 2007;29:257–64.
- <span id="page-21-26"></span>117. Cazzato G, Caporusso C, Arezzo F, Cimmino A, Colagrande A, Loizzi V, et al. Formalin-fixed and paraffin-embedded samples for next generation sequencing: problems and solutions. Genes. 2021;12:1472.
- <span id="page-21-27"></span>118. Dodani DD, Nguyen MH, Morin RD, Marra MA, Corbett RD. Combinatorial and machine learning approaches for improved somatic variant calling from formalin-fixed paraffin-embedded genome sequence data. Front Genet. 2022;13:834764.
- <span id="page-21-28"></span>119. Trevarton AJ, Chang JT, Symmans WF. Simple combination of multiple somatic variant callers to increase accuracy. Sci Rep. 2023;13:8463.
- <span id="page-21-29"></span>120. Junjun R, Zhengqian Z, Ying W, Jialiang W, Yongzhuang L. A comprehensive review of deep learning-based variant calling methods. Brief Funct Genomics. 2024;23(4):303–13.
- <span id="page-21-30"></span>121. Quinn EM, Cormican P, Kenny EM, Hill M, Anney R, Gill M, et al. Development of strategies for SNP detection in RNA-seq data: application to lymphoblastoid cell lines and evaluation using 1000 Genomes data. PLoS ONE. 2013;8:e58815.
- <span id="page-21-31"></span>122. Zhao Y, Wang K, Wang WL, Yin TT, Dong WQ, Xu CJ. A high-throughput SNP discovery strategy for RNA-seq data. BMC Genomics. 2019;20:160.
- <span id="page-21-32"></span>123. Liu F, Zhang Y, Zhang L, Li Z, Fang Q, Gao R, et al. Systematic comparative analysis of single-nucleotide variant detection methods from single-cell RNA sequencing data. Genome Biol. 2019;20:242.
- <span id="page-21-33"></span>124. CPTAC Pan-Cancer Analysis Page. National Cancer Institute Proteomic Data Commons.<https://pdc.cancer.gov/pdc/>. Accessed 20 June 2024.
- <span id="page-21-34"></span>125. Erhard F, Halenius A, Zimmermann C, L'Hernault A, Kowalewski DJ, Weekes MP, et al. Improved Ribo-seq enables identifcation of cryptic translation events. Nat Methods. 2018;15:363–6.
- <span id="page-21-35"></span>126. Fedorova AD, Tierney JAS, Michel AM, Baranov PV. RiboGalaxy: a galaxybased web platform for ribosome profling data processing - 2023 update. J Mol Biol. 2023;435:168043.
- <span id="page-21-36"></span>127. Vizcaíno JA, Kubiniok P, Kovalchik KA, Ma Q, Duquette JD, Mongrain I, et al. The human immunopeptidome project: a roadmap to predict and treat immune diseases. Mol Cell Proteomics. 2020;19:31–49.
- <span id="page-21-37"></span>128. Di Marco M, Schuster H, Backert L, Ghosh M, Rammensee HG, Stevanović S. Unveiling the peptide motifs of HLA-C and HLA-G from naturally presented peptides and generation of binding prediction matrices. J Immunol. 2017;199:2639–51.
- <span id="page-21-38"></span>129. Szolek A, Schubert B, Mohr C, Sturm M, Feldhahn M, Kohlbacher O. OptiType: precision HLA typing from next-generation sequencing data. Bioinformatics. 2014;30:3310–6.
- <span id="page-21-39"></span>130. Kiyotani K, Mai TH, Nakamura Y. Comparison of exome-based HLA class I genotyping tools: identifcation of platform-specifc genotyping errors. J Hum Genet. 2017;62:397–405.
- <span id="page-21-40"></span>131. Boegel S, Löwer M, Schäfer M, Bukur T, de Graaf J, Boisguérin V, et al. HLA typing from RNA-Seq sequence reads. Genome Med. 2012;4:102.
- <span id="page-22-0"></span>132. Orenbuch R, Filip I, Comito D, Shaman J, Pe'er I, Rabadan R. arcasHLA: high-resolution HLA typing from RNAseq. Bioinformatics. 2020;36:33–40.
- <span id="page-22-1"></span>133. Liu C, Yang X, Duffy B, Mohanakumar T, Mitra RD, Zody MC, et al. ATH-LATES: accurate typing of human leukocyte antigen through exome sequencing. Nucleic Acids Res. 2013;41:e142.
- <span id="page-22-2"></span>134. Profaizer T, Lázár-Molnár E, Close DW, Delgado JC, Kumánovics A. HLA genotyping in the clinical laboratory: comparison of next-generation sequencing methods. Hla. 2016;88:14–24.
- <span id="page-22-3"></span>135. Zajonc DM. Unconventional peptide presentation by classical MHC class I and implications for T and NK cell activation. Int J Mol Sci. 2020;21:7561.
- <span id="page-22-4"></span>136. Xia H, McMichael J, Becker-Hapak M, Onyeador OC, Buchli R, McClain E, et al. Computational prediction of MHC anchor locations guides neoantigen identifcation and prioritization. Sci Immunol. 2023;8:eabg2200.
- <span id="page-22-5"></span>137. Yang Y, Wei Z, Cia G, Song X, Pucci F, Rooman M, et al. MHCII-peptide presentation: an assessment of the state-of-the-art prediction methods. Front Immunol. 2024;15:1293706.
- <span id="page-22-6"></span>138. Andreatta M, Nielsen M. Gapped sequence alignment using artifcial neural networks: application to the MHC class I system. Bioinformatics. 2016;32:511–7.
- <span id="page-22-7"></span>139. Nielsen M, Lundegaard C, Blicher T, Lamberth K, Harndahl M, Justesen S, et al. NetMHCpan, a method for quantitative predictions of peptide binding to any HLA-A and -B locus protein of known sequence. PLoS ONE. 2007;2:e796.
- <span id="page-22-8"></span>140. Jurtz V, Paul S, Andreatta M, Marcatili P, Peters B, Nielsen M. NetM-HCpan-4.0: improved peptide-MHC class I interaction predictions integrating eluted ligand and peptide binding affinity data. J Immunol. 2017;199:3360–8.
- <span id="page-22-9"></span>141. Bulik-Sullivan B, Busby J, Palmer CD, Davis MJ, Murphy T, Clark A, et al. Deep learning using tumor HLA peptide mass spectrometry datasets improves neoantigen identifcation. Nat Biotechnol. 2018. [https://doi.](https://doi.org/10.1038/nbt.4313) [org/10.1038/nbt.4313](https://doi.org/10.1038/nbt.4313).
- <span id="page-22-10"></span>142. Albert BA, Yang Y, Shao XM, Singh D, Smit KN, Anagnostou V, et al. Deep neural networks predict class I major histocompatibility complex epitope presentation and transfer learn neoepitope immunogenicity. Nat Mach Intell. 2023;5:861–72.
- <span id="page-22-11"></span>143. Wieczorek M, Abualrous ET, Sticht J, Álvaro-Benito M, Stolzenberg S, Noé F, et al. Major histocompatibility complex (MHC) class I and MHC class II proteins: conformational plasticity in antigen presentation. Front Immunol. 2017;8:292.
- <span id="page-22-12"></span>144. Rock KL, Reits E, Neefes J. Present yourself! by MHC class I and MHC class II molecules. Trends Immunol. 2016;37:724–37.
- <span id="page-22-13"></span>145. Andreatta M, Karosiene E, Rasmussen M, Stryhn A, Buus S, Nielsen M. Accurate pan-specifc prediction of peptide-MHC class II binding affinity with improved binding core identification. Immunogenetics. 2015;67:641–50.
- <span id="page-22-14"></span>146. Gfeller D, Bassani-Sternberg M. Predicting antigen presentation-what could we learn from a million peptides? Front Immunol. 2018;9:1716.
- <span id="page-22-15"></span>147. Epitope Prediction and Analysis Tools. IEDB Analysis Resource. [http://](http://tools.iedb.org/main/) [tools.iedb.org/main/](http://tools.iedb.org/main/). Accessed 20 June 2024.
- <span id="page-22-16"></span>148. Garcia KC, Adams EJ. How the T cell receptor sees antigen–a structural view. Cell. 2005;122:333–6.
- <span id="page-22-17"></span>149. Shugay M, Bagaev DV, Zvyagin IV, Vroomans RM, Crawford JC, Dolton G, et al. VDJdb: a curated database of T-cell receptor sequences with known antigen specifcity. Nucleic Acids Res. 2018;46:D419–27.
- <span id="page-22-18"></span>150. Tickotsky N, Sagiv T, Prilusky J, Shifrut E, Friedman N. McPAS-TCR: a manually curated catalogue of pathology-associated T cell receptor sequences. Bioinformatics. 2017;33:2924–9.
- <span id="page-22-19"></span>151. Vita R, Mahajan S, Overton JA, Dhanda SK, Martini S, Cantrell JR, et al. The immune epitope database (IEDB): 2018 update. Nucleic Acids Res. 2019;47:D339–43.
- <span id="page-22-20"></span>152. Zhang W, Wang L, Liu K, Wei X, Yang K, Du W, et al. PIRD: pan immune repertoire database. Bioinformatics. 2020;36:897–903.
- <span id="page-22-21"></span>153. Nolan S, Vignali M, Klinger M, Dines JN, Kaplan IM, Svejnoha E, et al. A large-scale database of T-cell receptor beta (TCRβ) sequences and binding associations from natural and synthetic exposure to SARS-CoV-2. Res Sq. 2020.<https://doi.org/10.21203/rs.3.rs-51964/v1>.
- <span id="page-22-22"></span>154. Chromium Single Cell Immune Profling. 10x Genomics. [https://www.](https://www.10xgenomics.com/products/single-cell-immune-profiling) [10xgenomics.com/products/single-cell-immune-profling.](https://www.10xgenomics.com/products/single-cell-immune-profiling) Accessed 20 June 2024.
- <span id="page-22-23"></span>155. Fischer DS, Wu Y, Schubert B, Theis FJ. Predicting antigen specifcity of single T cells based on TCR CDR3 regions. Mol Syst Biol. 2020;16:e9416.
- <span id="page-22-24"></span>156. Overall SA, Toor JS, Hao S, Yarmarkovich M, Sara MOR, Morozov GI, et al. High throughput pMHC-I tetramer library production using chaperonemediated peptide exchange. Nat Commun. 2020;11:1909.
- <span id="page-22-25"></span>157. Meysman P, Barton J, Bravi B, Cohen-Lavi L, Karnaukhov V, Lilleskov E, et al. Benchmarking solutions to the T-cell receptor epitope prediction problem: IMMREP22 workshop report. ImmunoInformatics. 2023;9:100024.
- <span id="page-22-26"></span>158. Grazioli F, Mösch A, Machart P, Li K, Alqassem I, O'Donnell TJ, et al. On TCR binding predictors failing to generalize to unseen peptides. Front Immunol. 2022;13:1014256.
- <span id="page-22-27"></span>159. Croce G, Bobisse S, Moreno DL, Schmidt J, Guillame P, Harari A, et al. Deep learning predictions of TCR-epitope interactions reveal epitopespecifc chains in dual alpha T cells. Nat Commun. 2024;15:3211.
- <span id="page-22-28"></span>160. Habern O. 2021 A sequencing approach to T-cell receptor-antigen recognition. 10x Genomics. [https://www.10xgenomics.com/blog/a](https://www.10xgenomics.com/blog/a-sequencing-approach-to-t-cell-receptor-antigen-recognition) [sequencing-approach-to-t-cell-receptor-antigen-recognition](https://www.10xgenomics.com/blog/a-sequencing-approach-to-t-cell-receptor-antigen-recognition). Accessed 20 June 2024.
- <span id="page-22-29"></span>161. McGranahan N, Furness AJ, Rosenthal R, Ramskov S, Lyngaa R, Saini SK, et al. Clonal neoantigens elicit T cell immunoreactivity and sensitivity to immune checkpoint blockade. Science. 2016;351:1463–9.
- <span id="page-22-30"></span>162. Ragone C, Cavalluzzo B, Mauriello A, Tagliamonte M, Buonaguro L. Lack of shared neoantigens in prevalent mutations in cancer. J Transl Med. 2024;22:344.
- <span id="page-22-31"></span>163. Weller M, Butowski N, Tran DD, Recht LD, Lim M, Hirte H, et al. Rindopepimut with temozolomide for patients with newly diagnosed, EGFRvIII-expressing glioblastoma (ACT IV): a randomised, double-blind, international phase 3 trial. Lancet Oncol. 2017;18:1373–85.
- <span id="page-22-32"></span>164. Bagley SJ, Binder ZA, Lamrani L, Marinari E, Desai AS, Nasrallah MP, et al. Repeated peripheral infusions of anti-EGFRvIII CART cells in combination with pembrolizumab show no efficacy in glioblastoma: a phase 1 trial. Nat Cancer. 2024;5:517–31.
- <span id="page-22-33"></span>165. Laganà A. Computational approaches for the investigation of intra-tumor heterogeneity and clonal evolution from bulk sequencing data in precision oncology applications. Adv Exp Med Biol. 2022;1361:101–18.
- <span id="page-22-34"></span>166. Roth A, Khattra J, Yap D, Wan A, Laks E, Biele J, et al. PyClone: statistical inference of clonal population structure in cancer. Nat Methods. 2014;11:396–8.
- <span id="page-22-35"></span>167. Xiao Y, Wang X, Zhang H, Ulintz PJ, Li H, Guan Y. FastClone is a probabilistic tool for deconvoluting tumor heterogeneity in bulk-sequencing samples. Nat Commun. 2020;11:4469.
- <span id="page-22-36"></span>168. Miller CA, White BS, Dees ND, Griffith M, Welch JS, Griffith OL, et al. Sci-Clone: inferring clonal architecture and tracking the spatial and temporal patterns of tumor evolution. PLoS Comput Biol. 2014;10:e1003665.
- <span id="page-22-37"></span>169. Uniprot.<https://www.uniprot.org>. Accessed 20 June 2024.
- <span id="page-22-38"></span>170. e!EnsemblGenomes.<https://ensemblgenomes.org>. Accessed 20 June 2024.
- <span id="page-22-39"></span>171. GENCODE<https://www.gencodegenes.org>. Accessed 20 June 2024.
- <span id="page-22-40"></span>172. HLA Ligand Atlas.<https://hla-ligand-atlas.org/welcome>. Accessed 20 June 2024.
- <span id="page-22-41"></span>173. Łuksza M, Riaz N, Makarov V, Balachandran VP, Hellmann MD, Solovyov A, et al. A neoantigen ftness model predicts tumour response to checkpoint blockade immunotherapy. Nature. 2017;551:517–20.
- <span id="page-22-42"></span>174. Richman LP, Vonderheide RH, Rech AJ. Neoantigen dissimilarity to the self-proteome predicts immunogenicity and response to immune checkpoint blockade. Cell Syst. 2019;9:375-82.e4.
- <span id="page-22-43"></span>175. Hundal J, Kiwala S, McMichael J, Miller CA, Xia H, Wollam AT, et al. pVACtools: a computational toolkit to identify and visualize cancer neoantigens. Cancer Immunol Res. 2020;8:409–20.
- <span id="page-22-44"></span>176. Kodysh J, Rubinsteyn A. OpenVax: an open-source computational pipeline for cancer neoantigen prediction. Methods Mol Biol. 2020;2120:147–60.
- <span id="page-22-45"></span>177. Li B, Jing P, Zheng G, Pi C, Zhang L, Yin Z, et al. Neo-intline: integrated pipeline enables neoantigen design through the in-silico presentation of T-cell epitope. Signal Transduct Target Ther. 2023;8:397.
- <span id="page-22-46"></span>178. Rubinsteyn A, Kodysh J, Hodes I, Mondet S, Aksoy BA, Finnigan JP, et al. Computational pipeline for the PGV-001 neoantigen vaccine trial. Front Immunol. 2017;8:1807.
- <span id="page-23-0"></span>179. Schmittel A, Keilholz U, Scheibenbogen C. Evaluation of the interferongamma ELISPOT-assay for quantification of peptide specific T lymphocytes from peripheral blood. J Immunol Methods. 1997;210:167–74.
- <span id="page-23-1"></span>180. Godard B, Gazagne A, Gey A, Baptiste M, Vingert B, Pegaz-Fiornet B, et al. Optimization of an elispot assay to detect cytomegalovirus-specifc CD8+ T lymphocytes. Hum Immunol. 2004;65:1307–18.
- <span id="page-23-2"></span>181. Czerkinsky CC, Nilsson LA, Nygren H, Ouchterlony O, Tarkowski A. A solid-phase enzyme-linked immunospot (ELISPOT) assay for enumeration of specifc antibody-secreting cells. J Immunol Methods. 1983;65:109–21.
- <span id="page-23-3"></span>182. Slota M, Lim JB, Dang Y, Disis ML. ELISpot for measuring human immune responses to vaccines. Expert Rev Vaccines. 2011;10:299–306.
- 183. Korzeniewski C, Callewaert DM. An enzyme-release assay for natural cytotoxicity. J Immunol Methods. 1983;64:313–20.
- <span id="page-23-4"></span>184. Weigelin B, den Boer AT, Wagena E, Broen K, Dolstra H, de Boer RJ, et al. Cytotoxic T cells are able to efficiently eliminate cancer cells by additive cytotoxicity. Nat Commun. 2021;12:5217.
- <span id="page-23-5"></span>185. Guil-Luna S, Sedlik C, Piaggio E. Humanized mouse models to evaluate cancer immunotherapeutics. Ann Rev Cancer Biol. 2021;5:119–36.
- <span id="page-23-6"></span>186. Zhang X, Kim S, Hundal J, Herndon JM, Li S, Petti AA, et al. Breast cancer neoantigens can induce CD8(+) T-cell responses and antitumor immunity. Cancer Immunol Res. 2017;5:516–23.
- <span id="page-23-7"></span>187. Gao S, Wu Z, Arnold B, Diamond C, Batchu S, Giudice V, et al. Single-cell RNA sequencing coupled to TCR profling of large granular lymphocyte leukemia T cells. Nat Commun. 2022;13:1982.
- <span id="page-23-8"></span>188. Frank ML, Lu K, Erdogan C, Han Y, Hu J, Wang T, et al. T-Cell receptor repertoire sequencing in the era of cancer immunotherapy. Clin Cancer Res. 2023;29:994–1008.
- <span id="page-23-9"></span>189. Kato T, Matsuda T, Ikeda Y, Park JH, Leisegang M, Yoshimura S, et al. Efective screening of T cells recognizing neoantigens and construction of T-cell receptor-engineered T cells. Oncotarget. 2018;9:11009–19.
- <span id="page-23-10"></span>190. Zong S, Mi T, Flores LG 2nd, Alpert A, Olivares S, Patel K, et al. Very rapid cloning, expression and identifying specifcity of T-cell receptors for T-cell engineering. PLoS ONE. 2020;15:e0228112.
- <span id="page-23-11"></span>191. Danilova L, Anagnostou V, Caushi JX, Sidhom JW, Guo H, Chan HY, et al. The mutation-associated neoantigen functional expansion of specifc T cells (MANAFEST) assay: a sensitive platform for monitoring antitumor immunity. Cancer Immunol Res. 2018;6:888–99.
- <span id="page-23-12"></span>192. Vijh S, Pilip IM, Pamer EG. Effect of antigen-processing efficiency on in vivo T cell response magnitudes. J Immunol. 1998;160:3971–7.
- <span id="page-23-13"></span>193. van Pul KM, Fransen MF, van de Ven R, de Gruijl TD. Immunotherapy goes local: the central role of lymph nodes in driving tumor infltration and efficacy. Front Immunol. 2021;12:643291.
- <span id="page-23-14"></span>194. Cochran AJ, Huang RR, Lee J, Itakura E, Leong SP, Essner R. Tumourinduced immune modulation of sentinel lymph nodes. Nat Rev Immunol. 2006;6:659–70.
- <span id="page-23-15"></span>195. Norbury CC, Basta S, Donohue KB, Tscharke DC, Princiotta MF, Berglund P, et al. CD8+ T cell cross-priming via transfer of proteasome substrates. Science. 2004;304:1318–21.
- <span id="page-23-16"></span>196. Ho NI, Veld LGMHI, Raaijmakers TK, Adema GJ. Adjuvants enhancing cross-presentation by dendritic cells: the key to more effective vaccines? Front Immunol. 2018;9:2874.
- <span id="page-23-17"></span>197. Abd-Aziz N, Poh CL. Development of peptide-based vaccines for cancer. J Oncol. 2022;2022:9749363.
- <span id="page-23-18"></span>198. Bijker MS, van den Eeden SJ, Franken KL, Melief CJ, Ofringa R, van der Burg SH. CD8+ CTL priming by exact peptide epitopes in incomplete Freund's adjuvant induces a vanishing CTL response, whereas long peptides induce sustained CTL reactivity. J Immunol. 2007;179:5033–40.
- <span id="page-23-19"></span>199. Black M, Trent A, Tirrell M, Olive C. Advances in the design and delivery of peptide subunit vaccines with a focus on toll-like receptor agonists. Expert Rev Vaccines. 2010;9:157–73.
- <span id="page-23-20"></span>200. Li YD, Chi WY, Su JH, Ferrall L, Hung CF, Wu TC. Coronavirus vaccine development: from SARS and MERS to COVID-19. J Biomed Sci. 2020;27:104.
- <span id="page-23-21"></span>201. Fausther-Bovendo H, Kobinger GP. Pre-existing immunity against Ad vectors: humoral, cellular, and innate response, what's important? Hum Vaccin Immunother. 2014;10:2875–84.
- <span id="page-23-22"></span>202. McCann N, O'Connor D, Lambe T, Pollard AJ. Viral vector vaccines. Curr Opin Immunol. 2022;77:102210.
- <span id="page-23-23"></span>203. Bechter O, Martin-Liberal J, D'Alise A, Leoni G, Cotugno G, Siani L, et al. 706 NOUS-PEV, a novel personalized viral-based prime/boost cancer

immunotherapy targeting patient-specifc neoantigens: interim results from the frst subjects in the phase 1b study. J Immunother Cancer. 2022;10:A739.

- <span id="page-23-24"></span>204. Baghban R, Farajnia S, Rajabibazl M, Ghasemi Y, Maf A, Hoseinpoor R, et al. Yeast expression systems: overview and recent advances. Mol Biotechnol. 2019;61:365–84.
- <span id="page-23-25"></span>205. Liu J, Fu M, Wang M, Wan D, Wei Y, Wei X. Cancer vaccines as promising immuno-therapeutics: platforms and current progress. J Hematol Oncol. 2022;15:28.
- <span id="page-23-26"></span>206. Wang C, Yuan F. A comprehensive comparison of DNA and RNA vaccines. Adv Drug Deliv Rev. 2024;210:115340.
- <span id="page-23-27"></span>207. Trombetta CM, Marchi S, Manini I, Lazzeri G, Montomoli E. Challenges in the development of egg-independent vaccines for infuenza. Expert Rev Vaccines. 2019;18:737–50.
- <span id="page-23-28"></span>208. Hegde NR. Cell culture-based infuenza vaccines: a necessary and indispensable investment for the future. Hum Vaccin Immunother. 2015;11:1223–34.
- <span id="page-23-29"></span>209. Chi WY, Li YD, Huang HC, Chan TEH, Chow SY, Su JH, et al. COVID-19 vaccine update: vaccine efectiveness, SARS-CoV-2 variants, boosters, adverse efects, and immune correlates of protection. J Biomed Sci. 2022;29:82.
- <span id="page-23-30"></span>210. Chatzikleanthous D, O'Hagan DT, Adamo R. Lipid-based nanoparticles for delivery of vaccine adjuvants and antigens: toward multicomponent vaccines. Mol Pharm. 2021;18:2867–88.
- <span id="page-23-31"></span>211. Yang B, Jeang J, Yang A, Wu TC, Hung CF. DNA vaccine for cancer immunotherapy. Hum Vaccin Immunother. 2014;10:3153–64.
- <span id="page-23-32"></span>212. Pandya A, Shah Y, Kothari N, Postwala H, Shah A, Parekh P, et al. The future of cancer immunotherapy: DNA vaccines leading the way. Med Oncol. 2023;40:200.
- <span id="page-23-33"></span>213. Barbier AJ, Jiang AY, Zhang P, Wooster R, Anderson DG. The clinical progress of mRNA vaccines and immunotherapies. Nat Biotechnol. 2022;40:840–54.
- <span id="page-23-34"></span>214. Zhang C, Ma Y, Zhang J, Kuo JC, Zhang Z, Xie H, et al. Modifcation of lipid-based nanoparticles: an efficient delivery system for nucleic acidbased immunotherapy. Molecules. 2022;27:1943.
- <span id="page-23-35"></span>215. Zhang R, Tang L, Tian Y, Ji X, Hu Q, Zhou B, et al. DP7-C-modifed liposomes enhance immune responses and the antitumor efect of a neoantigen-based mRNA vaccine. J Control Release. 2020;328:210–21.
- <span id="page-23-36"></span>216. Kranz LM, Diken M, Haas H, Kreiter S, Loquai C, Reuter KC, et al. Systemic RNA delivery to dendritic cells exploits antiviral defence for cancer immunotherapy. Nature. 2016;534:396–401.
- <span id="page-23-37"></span>217. Karikó K, Muramatsu H, Welsh FA, Ludwig J, Kato H, Akira S, et al. Incorporation of pseudouridine into mRNA yields superior nonim‑ munogenic vector with increased translational capacity and biological stability. Mol Ther. 2008;16:1833–40.
- <span id="page-23-38"></span>218. Beck JD, Reidenbach D, Salomon N, Sahin U, Türeci Ö, Vormehr M, et al. mRNA therapeutics in cancer immunotherapy. Mol Cancer. 2021;20:69.
- <span id="page-23-39"></span>219. Sloma MF, Mathews DH. Exact calculation of loop formation probability identifes folding motifs in RNA secondary structures. RNA. 2016;22:1808–18.
- <span id="page-23-40"></span>220. Serra MJ, Baird JD, Dale T, Fey BL, Retatagos K, Westhof E. Effects of magnesium ions on the stabilization of RNA oligomers of defned structures. RNA. 2002;8:307–23.
- <span id="page-23-41"></span>221. Sun L, Xu K, Huang W, Yang YT, Li P, Tang L, et al. Predicting dynamic cellular protein-RNA interactions by deep learning using in vivo RNA structures. Cell Res. 2021;31:495–516.
- <span id="page-23-42"></span>222. Zuker M, Stiegler P. Optimal computer folding of large RNA sequences using thermodynamics and auxiliary information. Nucleic Acids Res. 1981;9:133–48.
- <span id="page-23-43"></span>223. Li X, Quon G, Lipshitz HD, Morris Q. Predicting in vivo binding sites of RNA-binding proteins using mRNA secondary structure. RNA. 2010;16:1096–107.
- <span id="page-23-44"></span>224. Gerresheim GK, Dünnes N, Nieder-Röhrmann A, Shalamova LA, Fricke M, Hofacker I, et al. microRNA-122 target sites in the hepatitis C virus RNA NS5B coding region and 3' untranslated region: function in replication and infuence of RNA secondary structure. Cell Mol Life Sci. 2017;74:747–60.
- <span id="page-23-45"></span>225. Leppek K, Byeon GW, Kladwang W, Wayment-Steele HK, Kerr CH, Xu AF, et al. Combinatorial optimization of mRNA structure, stability, and translation for RNA-based therapeutics. Nat Commun. 2022;13:1536.
- <span id="page-24-0"></span>226. Owttrim GW. RNA helicases: diverse roles in prokaryotic response to abiotic stress. RNA Biol. 2013;10:96–110.
- <span id="page-24-1"></span>227. Monem PC, Vidyasagar N, Piatt AL, Sehgal E, Arribere JA. Ubiquitination of stalled ribosomes enables mRNA decay via HBS-1 and NONU-1 in vivo. PLoS Genet. 2023;19:e1010577.
- <span id="page-24-2"></span>228. Lan T, Putta MR, Wang D, Dai M, Yu D, Kandimalla ER, et al. Synthetic oligoribonucleotides-containing secondary structures act as agonists of Toll-like receptors 7 and 8. Biochem Biophys Res Commun. 2009;386:443–8.
- 229. Newman ZR, Young JM, Ingolia NT, Barton GM. Diferences in codon bias and GC content contribute to the balanced expression of TLR7 and TLR9. Proc Natl Acad Sci U S A. 2016;113:E1362–71.
- <span id="page-24-3"></span>230. Tatematsu M, Nishikawa F, Seya T, Matsumoto M. Toll-like receptor 3 recognizes incomplete stem structures in single-stranded viral RNA. Nat Commun. 2013;4:1833.
- <span id="page-24-4"></span>231. Karikó K, Buckstein M, Ni H, Weissman D. Suppression of RNA recognition by Toll-like receptors: the impact of nucleoside modifcation and the evolutionary origin of RNA. Immunity. 2005;23:165–75.
- <span id="page-24-5"></span>232. Wu G, Adachi H, Ge J, Stephenson D, Query CC, Yu YT. Pseudouridines in U2 snRNA stimulate the ATPase activity of Prp5 during spliceosome assembly. Embo j. 2016;35:654–67.
- <span id="page-24-6"></span>233. Huang H, Weng H, Sun W, Qin X, Shi H, Wu H, et al. Recognition of RNA N(6)-methyladenosine by IGF2BP proteins enhances mRNA stability and translation. Nat Cell Biol. 2018;20:285–95.
- <span id="page-24-7"></span>234. Kierzek E, Zhang X, Watson RM, Kennedy SD, Szabat M, Kierzek R, et al. Secondary structure prediction for RNA sequences including N(6)methyladenosine. Nat Commun. 2022;13:1271.
- <span id="page-24-8"></span>235. Varenyk Y, Spicher T, Hofacker IL, Lorenz R. Modified RNAs and predictions with the ViennaRNA Package. Bioinformatics. 2023;39:btad696.
- <span id="page-24-9"></span>236. Luz J, Antunes F, Clavijo-Salomon MA, Signori E, Tessarollo NG, Strauss BE. Clinical applications and immunological aspects of electroporationbased therapies. Vaccines (Basel). 2021;9:727.
- <span id="page-24-10"></span>237. Hanson G, Coller J. Codon optimality, bias and usage in translation and mRNA decay. Nat Rev Mol Cell Biol. 2018;19:20–30.
- <span id="page-24-11"></span>238. Villalobos A, Ness JE, Gustafsson C, Minshull J, Govindarajan S. Gene designer: a synthetic biology tool for constructing artificial DNA segments. BMC Bioinformatics. 2006;7:285.
- 239. Richardson SM, Wheelan SJ, Yarrington RM, Boeke JD. GeneDesign: rapid, automated design of multikilobase synthetic genes. Genome Res. 2006;16:550–6.
- <span id="page-24-12"></span>240. Marlatt NM, Spratt DE, Shaw GS. Codon optimization for enhanced Escherichia coli expression of human S100A11 and S100A1 proteins. Protein Expr Purif. 2010;73:58–64.
- <span id="page-24-13"></span>241. Gould N, Hendy O, Papamichail D. Computational tools and algorithms for designing customized synthetic genes. Front Bioeng Biotechnol. 2014;2:41.
- <span id="page-24-14"></span>242. Tanyi JL, Bobisse S, Ophir E, Tuyaerts S, Roberti A, Genolet R, et al. Personalized cancer vaccine efectively mobilizes antitumor T cell immunity in ovarian cancer. Sci Transl Med. 2018;10:eaao5931.
- <span id="page-24-15"></span>243. Lichtenegger FS, Schnorfeil FM, Rothe M, Deiser K, Altmann T, Bücklein VL, et al. Toll-like receptor 7/8-matured RNA-transduced dendritic cells as post-remission therapy in acute myeloid leukaemia: results of a phase I trial. Clin Transl Immunology. 2020;9:e1117.
- <span id="page-24-16"></span>244. Kongsted P, Borch TH, Ellebaek E, Iversen TZ, Andersen R, Met Ö, et al. Dendritic cell vaccination in combination with docetaxel for patients with metastatic castration-resistant prostate cancer: a randomized phase II study. Cytotherapy. 2017;19:500–13.
- <span id="page-24-17"></span>245. Wiethoff CM, Middaugh CR. Barriers to nonviral gene delivery. J Pharm Sci. 2003;92:203–17.
- <span id="page-24-18"></span>246. Hobernik D, Bros M. DNA vaccines-how far from clinical use? Int J Mol Sci. 2018;19:3605.
- <span id="page-24-19"></span>247. Disis MLN, Guthrie KA, Liu Y, Coveler AL, Higgins DM, Childs JS, et al. Safety and outcomes of a plasmid DNA vaccine encoding the FRBB2 intracellular domain in patients with advanced-stage ERBB2-positive breast cancer: a phase 1 nonrandomized clinical trial. JAMA Oncol. 2023;9:71–8.
- 248. Schalk JA, Mooi FR, Berbers GA, van Aerts LA, Ovelgönne H, Kimman TG. Preclinical and clinical safety studies on DNA vaccines. Hum Vaccin. 2006;2:45–53.
- <span id="page-24-20"></span>249. Fioretti D, Iurescia S, Rinaldi M. Recent advances in design of immunogenic and efective naked DNA vaccines against cancer. Recent Pat Anticancer Drug Discov. 2014;9:66–82.
- <span id="page-24-21"></span>250. Faurez F, Dory D, Le Moigne V, Gravier R, Jestin A. Biosafety of DNA vaccines: new generation of DNA vectors and current knowledge on the fate of plasmids after injection. Vaccine. 2010;28:3888–95.
- <span id="page-24-22"></span>251. Ndeupen S, Qin Z, Jacobsen S, Bouteau A, Estanbouli H, Igyártó BZ. The mRNA-LNP platform's lipid nanoparticle component used in preclinical vaccine studies is highly infammatory. iScience. 2021;24:103479.
- <span id="page-24-23"></span>252. Baden LR, El Sahly HM, Essink B, Kotloff K, Frey S, Novak R, et al. Efficacy and Safety of the mRNA-1273 SARS-CoV-2 Vaccine. N Engl J Med. 2021;384:403–16.
- <span id="page-24-24"></span>253. Liu MA. A comparison of plasmid DNA and mRNA as vaccine technologies. Vaccines (Basel). 2019;7:37.
- <span id="page-24-25"></span>254. Ledwith BJ, Manam S, Troilo PJ, Barnum AB, Pauley CJ, Grifths TG 2nd, et al. Plasmid DNA vaccines: investigation of integration into host cellular DNA following intramuscular injection in mice. Intervirology. 2000;43:258–72.
- <span id="page-24-26"></span>255. Soltani S, Farahani A, Dastranj M, Momenifar N, Mohajeri P, Emamie AD. Dna vaccine: methods and mechanisms. Adv Hum Biol. 2018;8:132–9.
- <span id="page-24-27"></span>256. Jorritsma SHT, Gowans EJ, Grubor-Bauk B, Wijesundara DK. Delivery methods to increase cellular uptake and immunogenicity of DNA vaccines. Vaccine. 2016;34:5488–94.
- <span id="page-24-28"></span>257. Hauser H, Chen SY. Augmentation of DNA vaccine potency through secretory heat shock protein-mediated antigen targeting. Methods. 2003;31:225–31.
- <span id="page-24-29"></span>258. Trimble CL, Peng S, Kos F, Gravitt P, Viscidi R, Sugar E, et al. A phase I trial of a human papillomavirus DNA vaccine for HPV16+ cervical intraepithelial neoplasia 2/3. Clin Cancer Res. 2009;15:361–7.
- <span id="page-24-30"></span>259. Hung CF, Hsu KF, Cheng WF, Chai CY, He L, Ling M, et al. Enhancement of DNA vaccine potency by linkage of antigen gene to a gene encoding the extracellular domain of Fms-like tyrosine kinase 3-ligand. Cancer Res. 2001;61:1080–8.
- <span id="page-24-31"></span>260. Choi YJ, Hur SY, Kim TJ, Hong SR, Lee JK, Cho CH, et al. A phase II, prospective, randomized, multicenter, open-label study of GX-188E, an HPV DNA vaccine, in patients with cervical intraepithelial neoplasia 3. Clin Cancer Res. 2020;26:1616–23.
- <span id="page-24-32"></span>261. Cheng WF, Hung CF, Chai CY, Hsu KF, He L, Ling M, et al. Tumor-specifc immunity and antiangiogenesis generated by a DNA vaccine encoding calreticulin linked to a tumor antigen. J Clin Invest. 2001;108:669–78.
- <span id="page-24-33"></span>262. Garcia F, Petry KU, Muderspach L, Gold MA, Braly P, Crum CP, et al. ZYC101a for treatment of high-grade cervical intraepithelial neoplasia: a randomized controlled trial. Obstet Gynecol. 2004;103:317–26.
- <span id="page-24-34"></span>263. Matijevic M, Hedley ML, Urban RG, Chicz RM, Lajoie C, Luby TM. Immunization with a poly (lactide co-glycolide) encapsulated plasmid DNA expressing antigenic regions of HPV 16 and 18 results in an increase in the precursor frequency of T cells that respond to epitopes from HPV 16, 18, 6 and 11. Cell Immunol. 2011;270:62–9.
- <span id="page-24-35"></span>264. Kabachinski G, Schwartz TU. The nuclear pore complex–structure and function at a glance. J Cell Sci. 2015;128:423–9.
- 265. Dean DA. Cell-specific targeting strategies for electroporation-mediated gene delivery in cells and animals. J Membr Biol. 2013;246:737–44.
- <span id="page-24-36"></span>266. Cervia LD, Yuan F. Current progress in electrotransfection as a nonviral method for gene delivery. Mol Pharm. 2018;15:3617–24.
- <span id="page-24-37"></span>267. Dietz WM, Skinner NE, Hamilton SE, Jund MD, Heitfeld SM, Litterman AJ, et al. Minicircle DNA is superior to plasmid DNA in eliciting antigenspecifc CD8+ T-cell responses. Mol Ther. 2013;21:1526–35.
- <span id="page-24-38"></span>268. Chen ZY, He CY, Ehrhardt A, Kay MA. Minicircle DNA vectors devoid of bacterial DNA result in persistent and high-level transgene expression in vivo. Mol Ther. 2003;8:495–500.
- <span id="page-24-39"></span>269. Boye C, Arpag S, Francis M, DeClemente S, West A, Heller R, et al. Reduction of plasmid vector backbone length enhances reporter gene expression. Bioelectrochemistry. 2022;144:107981.
- <span id="page-24-40"></span>270. Darquet AM, Cameron B, Wils P, Scherman D, Crouzet J. A new DNA vehicle for nonviral gene delivery: supercoiled minicircle. Gene Ther. 1997;4:1341–9.
- <span id="page-24-41"></span>271. Bigger BW, Tolmachov O, Collombet JM, Fragkos M, Palaszewski I, Coutelle C. An araC-controlled bacterial cre expression system to produce DNA minicircle vectors for nuclear and mitochondrial gene therapy. J Biol Chem. 2001;276:23018–27.
- <span id="page-24-42"></span>272. Mayrhofer P, Blaesen M, Schleef M, Jechlinger W. Minicircle-DNA production by site specifc recombination and protein-DNA interaction chromatography. J Gene Med. 2008;10:1253–69.
- <span id="page-25-0"></span>273. Williams JA, Luke J, Johnson L, Hodgson C. pDNAVACCultra vector family: high throughput intracellular targeting DNA vaccine plasmids. Vaccine. 2006;24:4671–6.
- <span id="page-25-1"></span>274. Luke J, Carnes AE, Hodgson CP, Williams JA. Improved antibiotic-free DNA vaccine vectors utilizing a novel RNA based plasmid selection system. Vaccine. 2009;27:6454–9.
- <span id="page-25-2"></span>275. Williams JA, Paez PA. Improving cell and gene therapy safety and performance using next-generation Nanoplasmid vectors. Mol Ther Nucleic Acids. 2023;32:494–503.
- <span id="page-25-3"></span>276. Adie T, Orefo I, Kysh D, Kondas K, Thapa S, Extance J, et al. dbDNA™: an advanced platform for genetic medicines. Drug Discov Today. 2022;27:374–7.
- <span id="page-25-4"></span>277. Dhatchinamoorthy K, Colbert JD, Rock KL. Cancer immune evasion through loss of MHC class I antigen presentation. Front Immunol. 2021;12:636568.
- <span id="page-25-5"></span>278. Cornel AM, Mimpen IL, Nierkens S. MHC class I downregulation in cancer: underlying mechanisms and potential targets for cancer immunotherapy. Cancers (Basel). 2020;12:1760.
- <span id="page-25-6"></span>279. Propper DJ, Chao D, Braybrooke JP, Bahl P, Thavasu P, Balkwill F, et al. Low-dose IFN-gamma induces tumor MHC expression in metastatic malignant melanoma. Clin Cancer Res. 2003;9:84–92.
- <span id="page-25-7"></span>280. Parikh F, Duluc D, Imai N, Clark A, Misiukiewicz K, Bonomi M, et al. Chemoradiotherapy-induced upregulation of PD-1 antagonizes immunity to HPV-related oropharyngeal cancer. Cancer Res. 2014;74:7205–16.
- 281. Barker HE, Paget JT, Khan AA, Harrington KJ. The tumour microenvironment after radiotherapy: mechanisms of resistance and recurrence. Nat Rev Cancer. 2015;15:409–25.
- <span id="page-25-8"></span>282. van Meir H, Nout RA, Welters MJ, Loof NM, de Kam ML, van Ham JJ, et al. Impact of (chemo)radiotherapy on immune cell composition and function in cervical cancer patients. Oncoimmunology. 2017;6:e1267095.
- <span id="page-25-9"></span>283. Dersh D, Phelan JD, Gumina ME, Wang B, Arbuckle JH, Holly J, et al. Genome-wide screens identify lineage- and tumor-specifc genes modulating MHC-I- and MHC-II-restricted immunosurveillance of human lymphomas. Immunity. 2021;54:116-31.e10.
- <span id="page-25-10"></span>284. Maley CC, Aktipis A, Graham TA, Sottoriva A, Boddy AM, Janiszewska M, et al. Classifying the evolutionary and ecological features of neoplasms. Nat Rev Cancer. 2017;17:605–19.
- <span id="page-25-11"></span>285. Merlo LM, Pepper JW, Reid BJ, Maley CC. Cancer as an evolutionary and ecological process. Nat Rev Cancer. 2006;6:924–35.
- <span id="page-25-12"></span>286. Thomas D, Radhakrishnan P. Tumor-stromal crosstalk in pancreatic cancer and tissue fbrosis. Mol Cancer. 2019;18:14.
- <span id="page-25-13"></span>287. Yamamoto K, Iwadate D, Kato H, Nakai Y, Tateishi K, Fujishiro M. Targeting the metabolic rewiring in pancreatic cancer and its tumor microenvironment. Cancers (Basel). 2022;14:4351.
- <span id="page-25-14"></span>288. Dey A, Varelas X, Guan KL. Targeting the Hippo pathway in cancer, fibrosis, wound healing and regenerative medicine. Nat Rev Drug Discov. 2020;19:480–94.
- 289. Lee JH, Massagué J. TGF-β in developmental and fbrogenic EMTs. Semin Cancer Biol. 2022;86:136–45.
- <span id="page-25-15"></span>290. Gonzalez DM, Medici D. Signaling mechanisms of the epithelial-mesenchymal transition. Sci Signal. 2014;7:re8.
- <span id="page-25-16"></span>291. Minchinton AI, Tannock IF. Drug penetration in solid tumours. Nat Rev Cancer. 2006;6:583–92.
- <span id="page-25-17"></span>292. Kohli K, Pillarisetty VG, Kim TS. Key chemokines direct migration of immune cells in solid tumors. Cancer Gene Ther. 2022;29:10–21.
- <span id="page-25-18"></span>293. Furumoto K, Soares L, Engleman EG, Merad M. Induction of potent antitumor immunity by in situ targeting of intratumoral DCs. J Clin Invest. 2004;113:774–83.
- <span id="page-25-19"></span>294. Olive KP, Jacobetz MA, Davidson CJ, Gopinathan A, McIntyre D, Honess D, et al. Inhibition of Hedgehog signaling enhances delivery of chemotherapy in a mouse model of pancreatic cancer. Science. 2009;324:1457–61.
- <span id="page-25-20"></span>295. Labani-Motlagh A, Ashja-Mahdavi M, Loskog A. The tumor microenvironment: a milieu hindering and obstructing antitumor immune responses. Front Immunol. 2020;11:940.
- <span id="page-25-21"></span>296. Gabai Y, Assouline B, Ben-Porath I. Senescent stromal cells: roles in the tumor microenvironment. Trends Cancer. 2023;9:28–41.
- <span id="page-25-22"></span>297. Topalian SL, Taube JM, Anders RA, Pardoll DM. Mechanism-driven biomarkers to guide immune checkpoint blockade in cancer therapy. Nat Rev Cancer. 2016;16:275–87.
- <span id="page-25-23"></span>298. Dong H, Strome SE, Salomao DR, Tamura H, Hirano F, Flies DB, et al. Tumor-associated B7–H1 promotes T-cell apoptosis: a potential mecha‑ nism of immune evasion. Nat Med. 2002;8:793–800.
- <span id="page-25-24"></span>299. Derynck R, Turley SJ, Akhurst RJ. TGFβ biology in cancer progression and immunotherapy. Nat Rev Clin Oncol. 2021;18:9–34.
- 300. Munn DH, Mellor AL. Indoleamine 2,3-dioxygenase and tumor-induced tolerance. J Clin Invest. 2007;117:1147–54.
- <span id="page-25-25"></span>301. Saraiva M, Vieira P, O'Garra A. Biology and therapeutic potential of interleukin-10. J Exp Med. 2020;217:e20190418.
- <span id="page-25-26"></span>302. Hauge A, Rofstad EK. Antifibrotic therapy to normalize the tumor microenvironment. J Transl Med. 2020;18:207.
- <span id="page-25-27"></span>303. Cha JH, Chan LC, Li CW, Hsu JL, Hung MC. Mechanisms controlling PD-L1 expression in cancer. Mol Cell. 2019;76:359–70.
- 304. Lee NY, Sherman EJ, Schöder H, Wray R, Boyle JO, Singh B, et al. Hypoxia-directed treatment of human papillomavirus-related oropharyngeal carcinoma. J Clin Oncol. 2024;42:940–50.
- <span id="page-25-28"></span>305. Leone RD, Powell JD. Fueling the revolution: targeting metabolism to enhance immunotherapy. Cancer Immunol Res. 2021;9:255–60.
- <span id="page-25-29"></span>306. McLane LM, Abdel-Hakeem MS, Wherry EJ. CD8 T cell exhaustion during chronic viral infection and cancer. Annu Rev Immunol. 2019;37:457–95.
- <span id="page-25-30"></span>307. Cafri G, Gartner JJ, Zaks T, Hopson K, Levin N, Paria BC, et al. mRNA vaccine-induced neoantigen-specifc T cell immunity in patients with gastrointestinal cancer. J Clin Invest. 2020;130:5976–88.
- <span id="page-25-31"></span>308. Zhang Z, Liu S, Zhang B, Qiao L, Zhang Y, Zhang Y. T cell dysfunction and exhaustion in cancer. Front Cell Dev Biol. 2020;8:17.
- <span id="page-25-32"></span>309. de Visser KE, Joyce JA. The evolving tumor microenvironment: from cancer initiation to metastatic outgrowth. Cancer Cell. 2023;41:374–403.
- <span id="page-25-33"></span>310. 2024 Gritstone bio Announces Positive Preliminary Progression-free Survival and Long-term Circulating Tumor DNA (ctDNA) Data from Phase 2 Portion of Ongoing Phase 2/3 Study of its Personalized Cancer Vaccine, GRANITE, in Front-line Metastatic Microsatellite Stable Colorectal Cancer (MSS-CRC). [https://ir.gritstonebio.com/news-releases/news](https://ir.gritstonebio.com/news-releases/news-release-details/gritstone-bio-announces-positive-preliminary-progression-free) [release-details/gritstone-bio-announces-positive-preliminary-progr](https://ir.gritstonebio.com/news-releases/news-release-details/gritstone-bio-announces-positive-preliminary-progression-free) [ession-free.](https://ir.gritstonebio.com/news-releases/news-release-details/gritstone-bio-announces-positive-preliminary-progression-free) Accessed 20 June 2024.
- <span id="page-25-34"></span>311. Koup RA, Douek DC. Vaccine design for CD8 T lymphocyte responses. Cold Spring Harb Perspect Med. 2011;1:a007252.
- <span id="page-25-35"></span>312. Riaz N, Havel JJ, Makarov V, Desrichard A, Urba WJ, Sims JS, et al. Tumor and microenvironment evolution during immunotherapy with nivolumab. Cell. 2017;171:934-49.e16.

#### **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.